



Activity-Aware Error Recovery in Tele-Operated Surgical Robots

Homa Alemzadeh

Dependable Systems and Analytics (UVA-DSA)

Electrical and Computer Engineering

Computer Science (by courtesy)



LINK
LAB



UNIVERSITY
of VIRGINIA
ENGINEERING



UNIVERSITY
of VIRGINIA
HEALTH SYSTEM

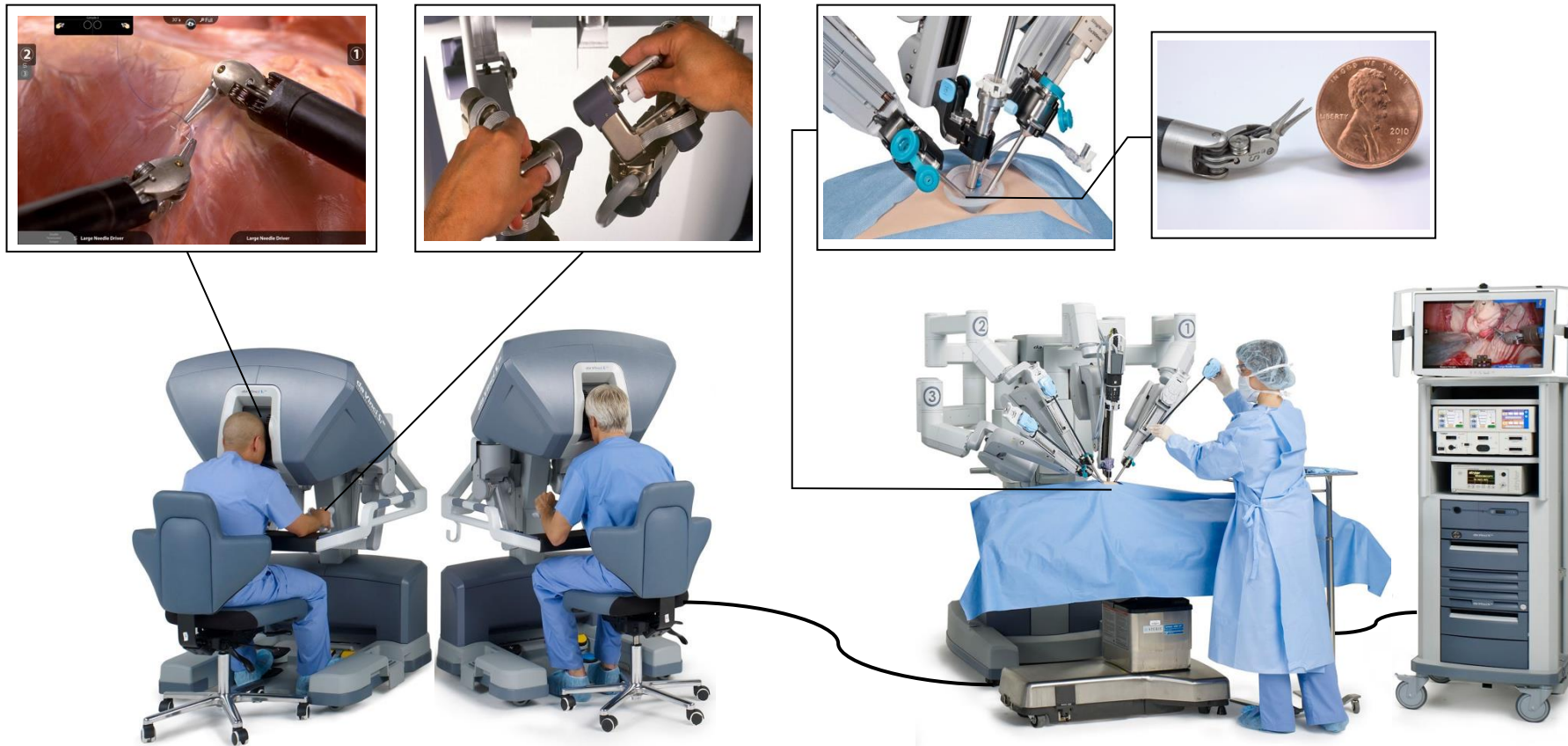


Commonwealth
Cyber Initiative





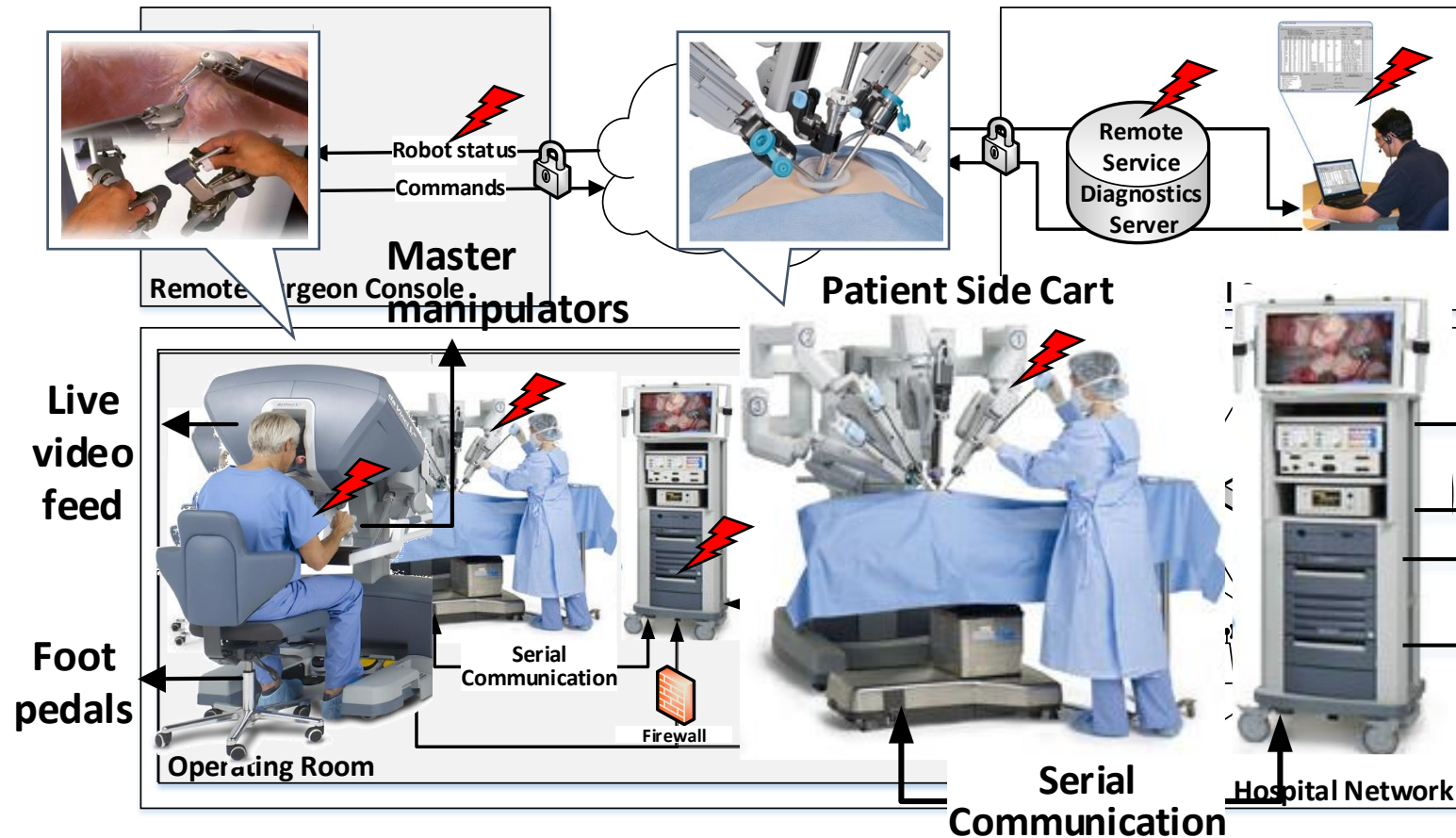
Tele-operated Surgical Robots



Loosely Closed-loop Semi-autonomous: No haptics, limited vision feedback



Human-Cyber-Physical Systems



Unintended Human Errors
[IJMRCAS 2022]

Software, hardware,
mechanical faults
[PLOS ONE 2016]

Other medical devices
DOS and MITM Attacks
[ICCPS 2015]

Robot controller
Faulty firewalls [WIRED 2014]

Malware targeting control
software [DSN 2016]

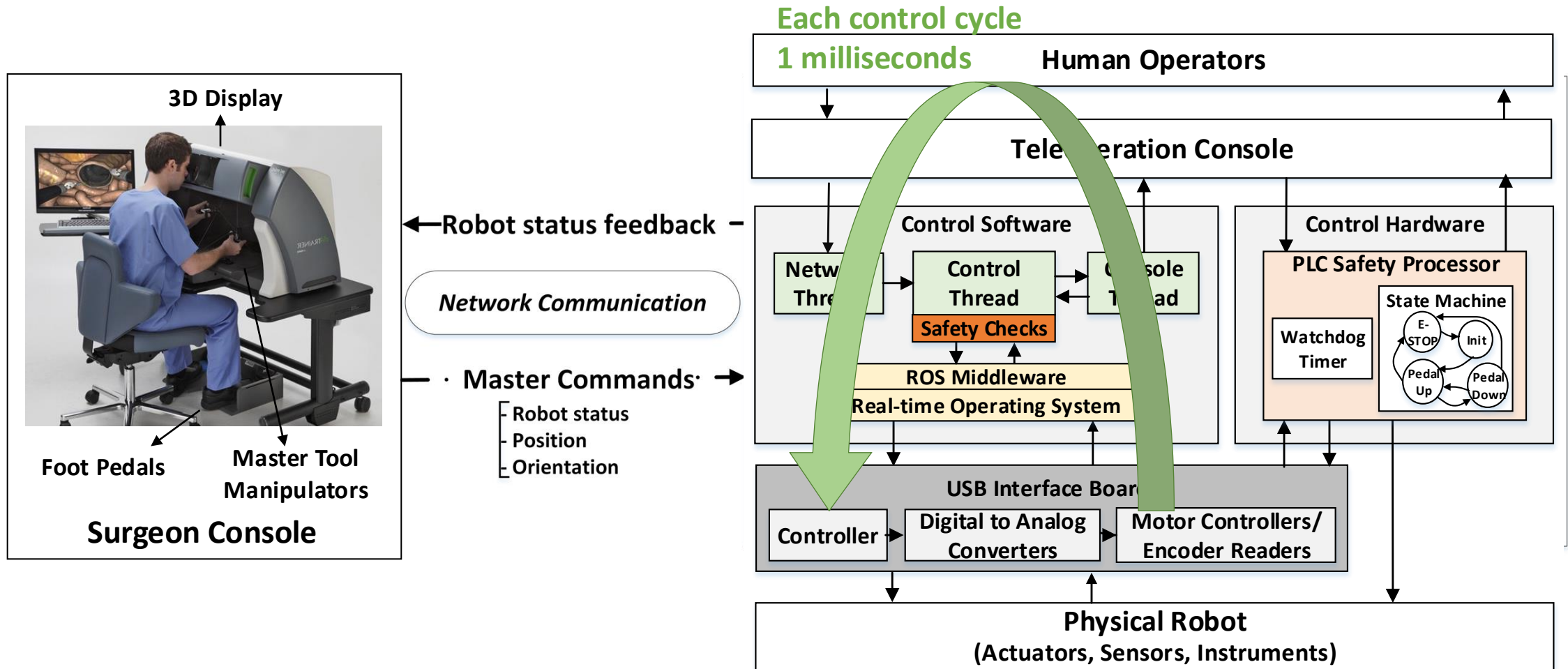
H. Alemzadeh, et al., "Adverse Events in Robotic Surgery: A Retrospective Study of 14 Years of FDA Data". *PLOS ONE*, 2016.

K. Hutchinson, et al., "Analysis of Executional and Procedural Errors in Dry-lab Robotic Surgery Experiments," *IJMRCAS*, 2022.

H. Alemzadeh, et al., "Targeted Attacks on Teleoperated Surgical Robots: Dynamic Model-Based Detection and Mitigation", *IEEE DSN*, 2016.

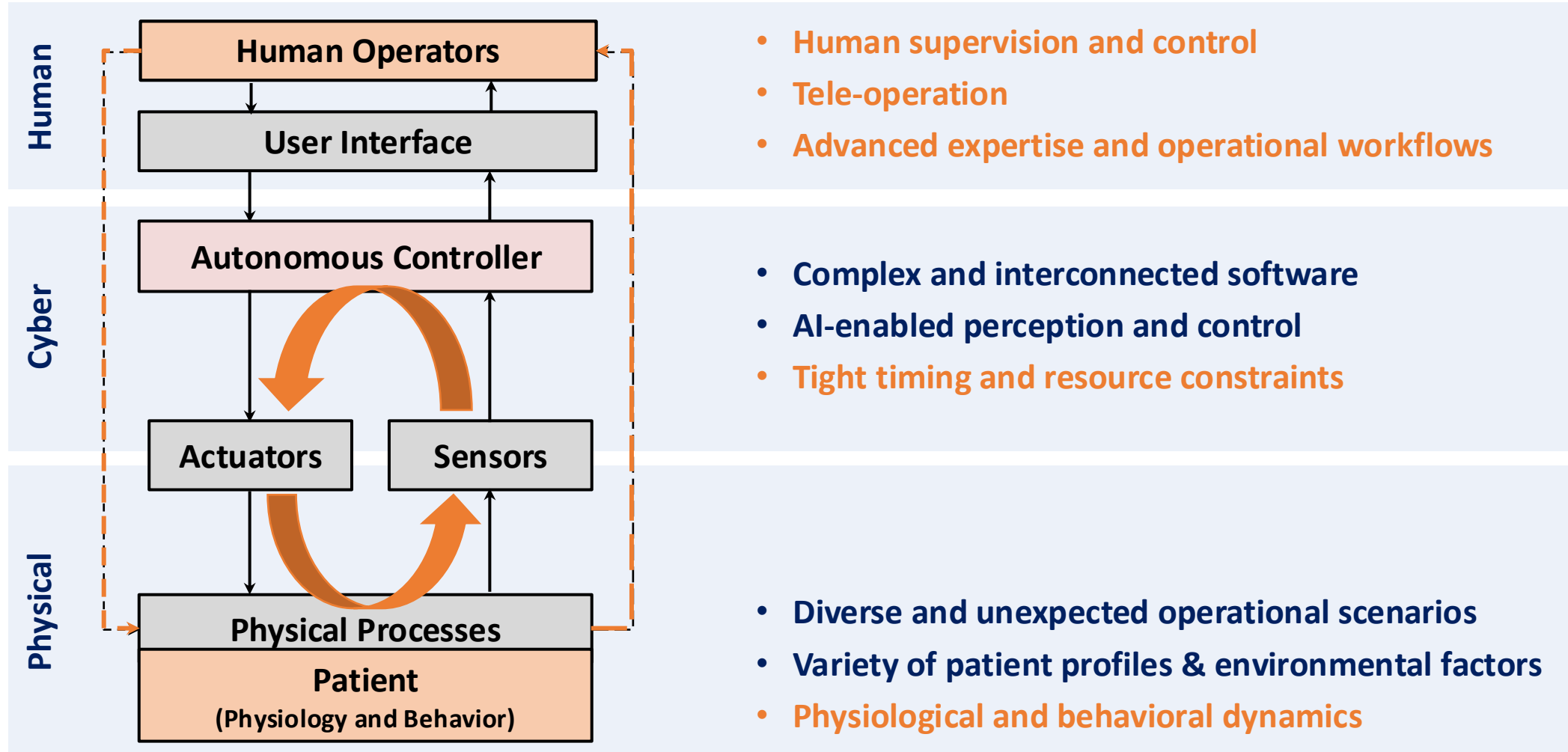


Tele-operated Surgical Robot Platform



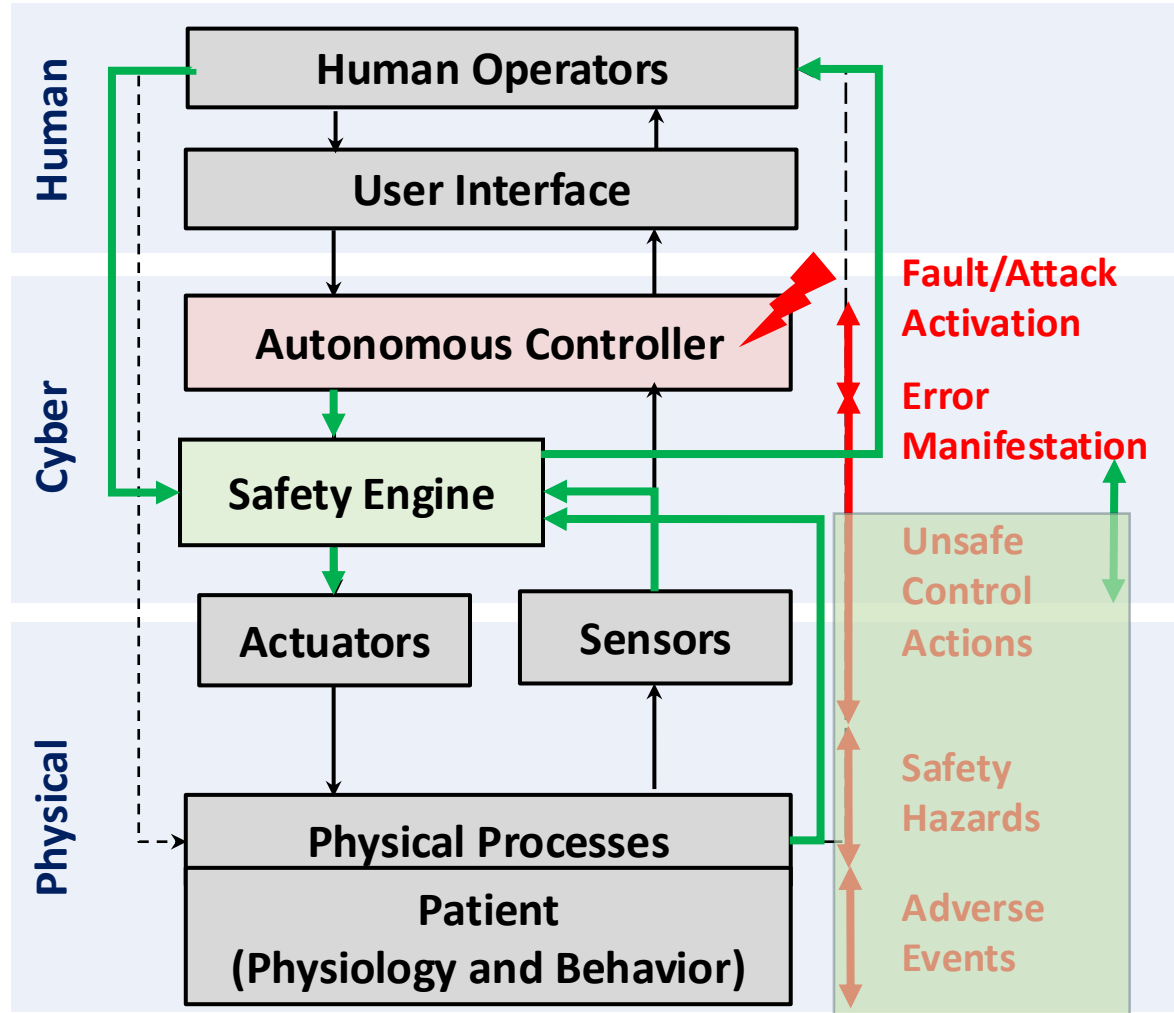


Human-Cyber-Physical Systems





Runtime Safety Assurance

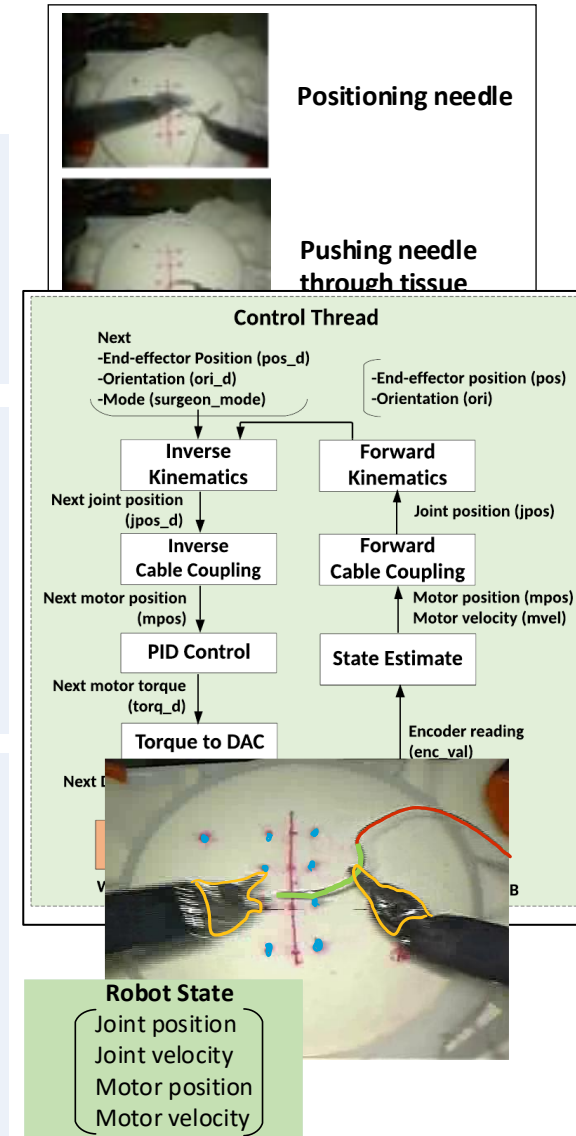
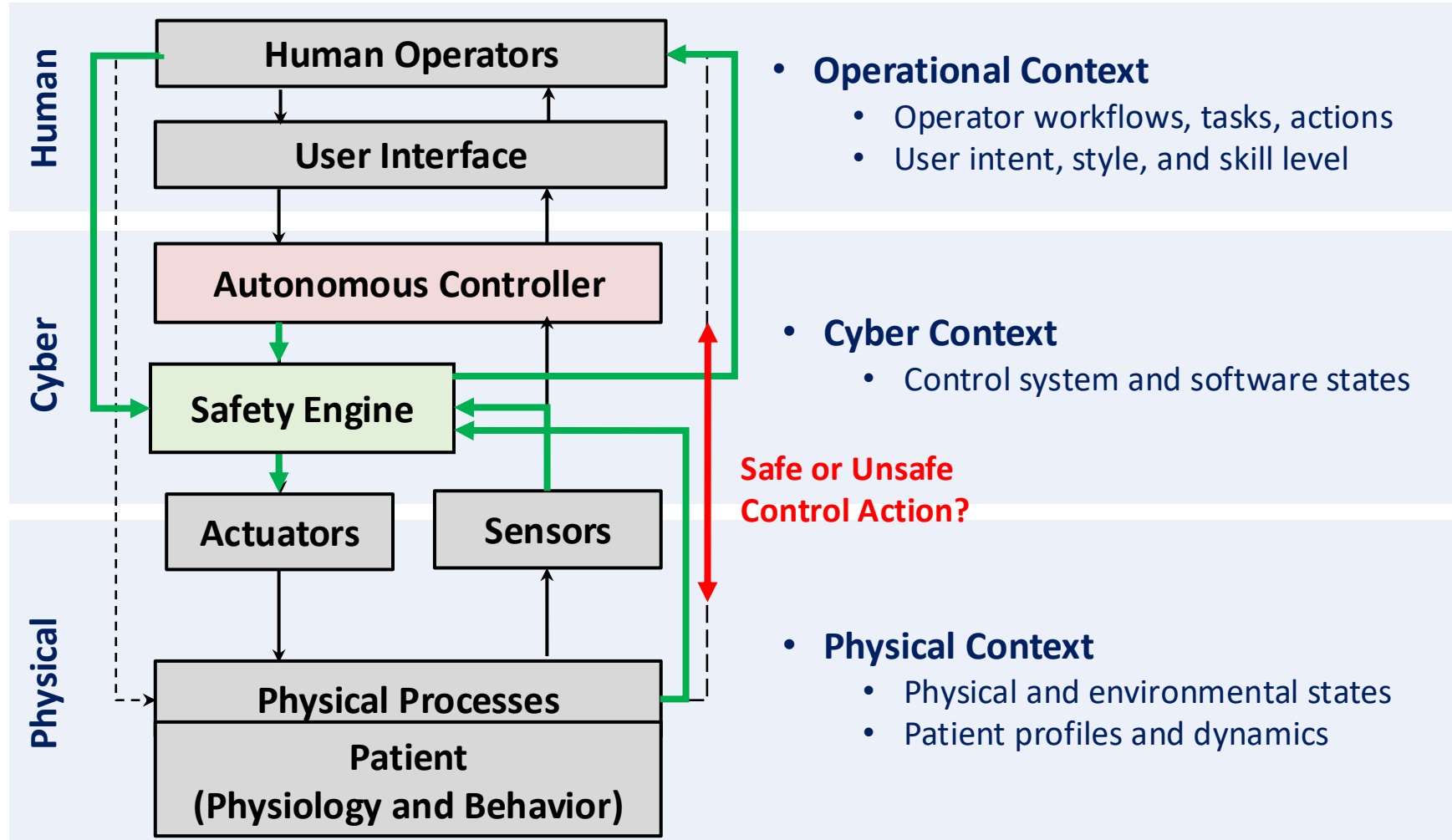


Runtime Safety Engines

- **Preemptive Detection of Unsafe Control Actions**
 - Based on sensor data and control commands
 - Just before execution in the physical layer
- **Prediction of Safety Hazards**
 - Hazard time and likelihood estimation
- **Prevention of Adverse Events**
 - **Automated** mitigation and recovery
 - **Feedback** to human operators

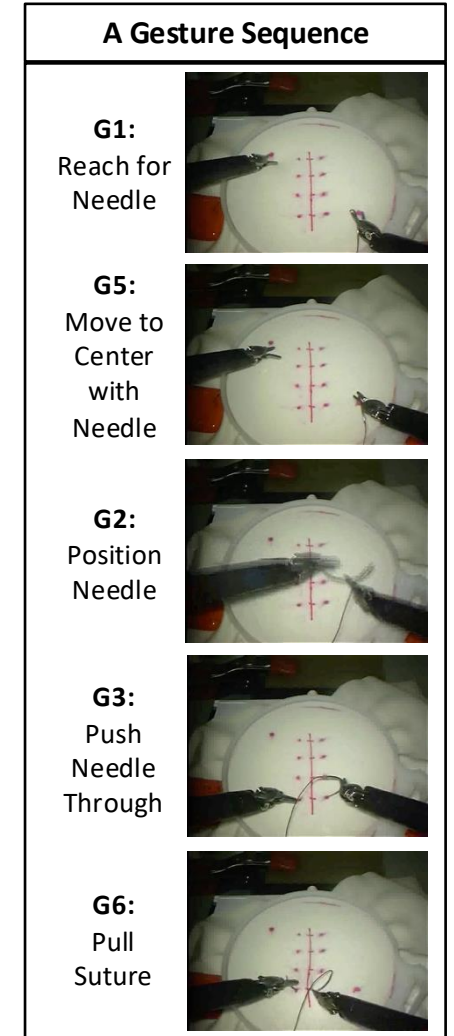
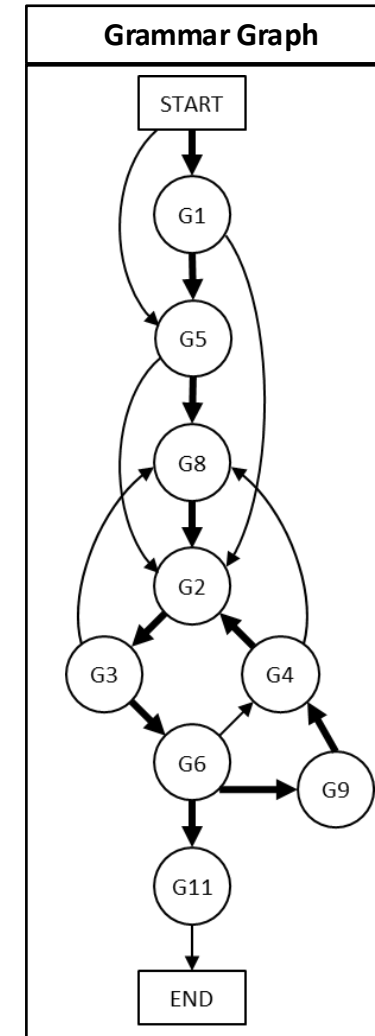
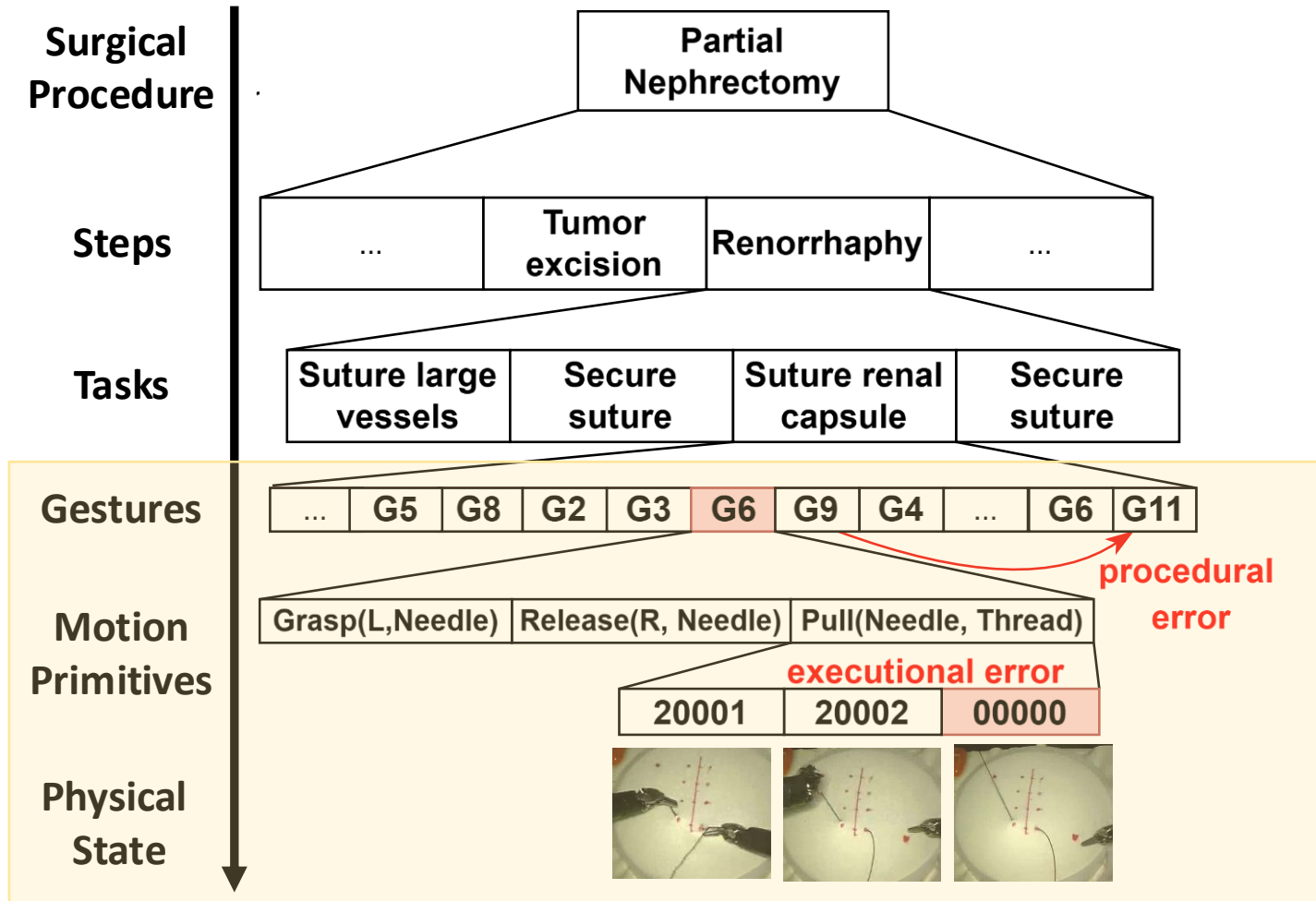


Context-Aware Safety



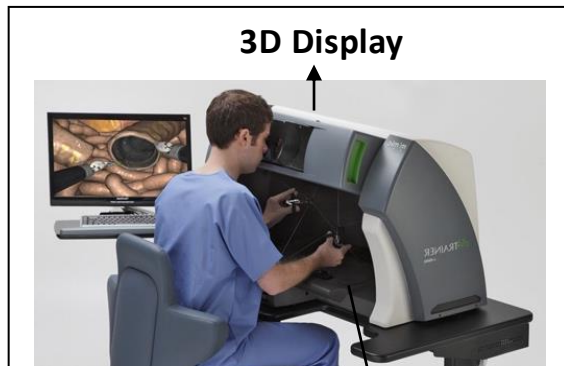


Operational Context in Surgery

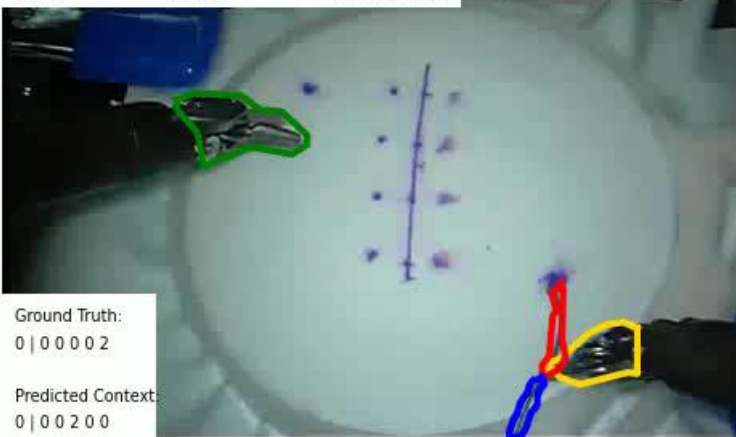




Context-Aware Safety Engine

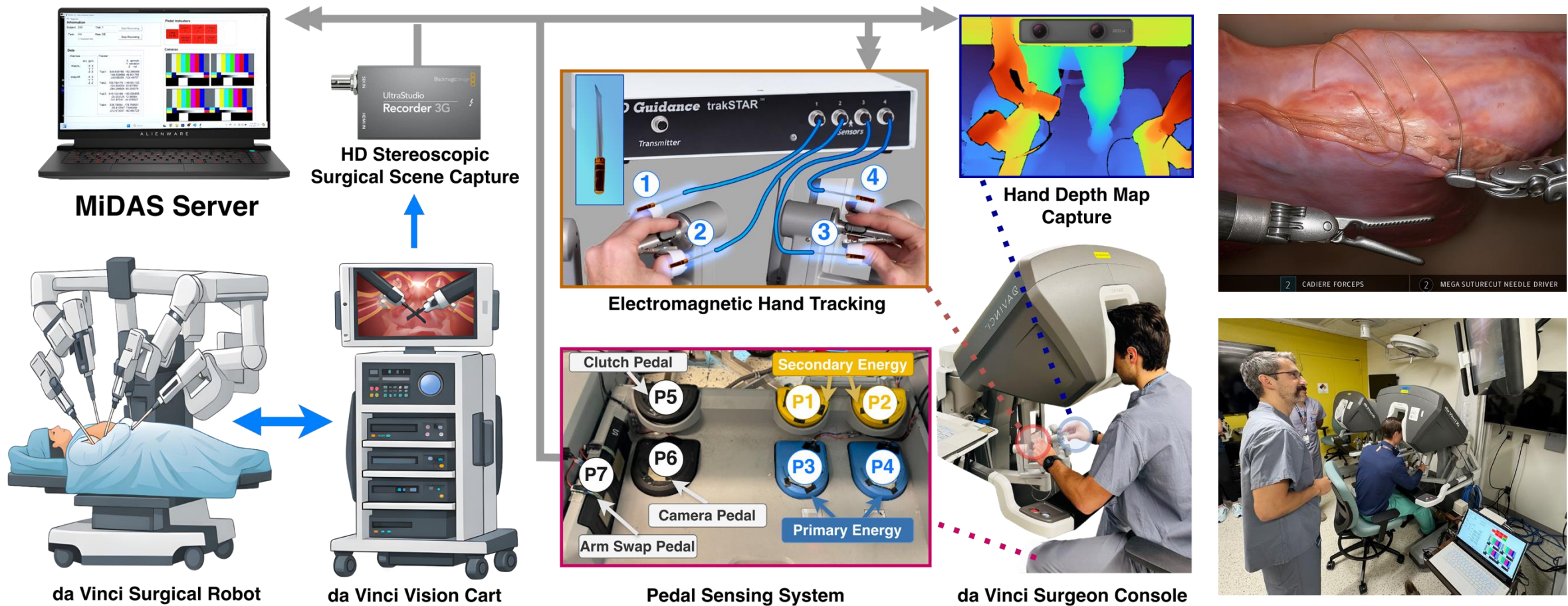


LG Hold>Contact RG Hold>Contact Needle State
D(LG,N):242.16 D(RN,N):0.00 D(N,Ts):99.33
D(LG,T):275.07 D(RG,T):6.08 N.x:470.71
Inter(LG,N):0.00 Inter(RG,N):118.06 Ts.x:352.25
 α :True α :False N.x<Ts.x:False





MiDAS: Multimodal Data Acquisition





Simulated and Real Procedures

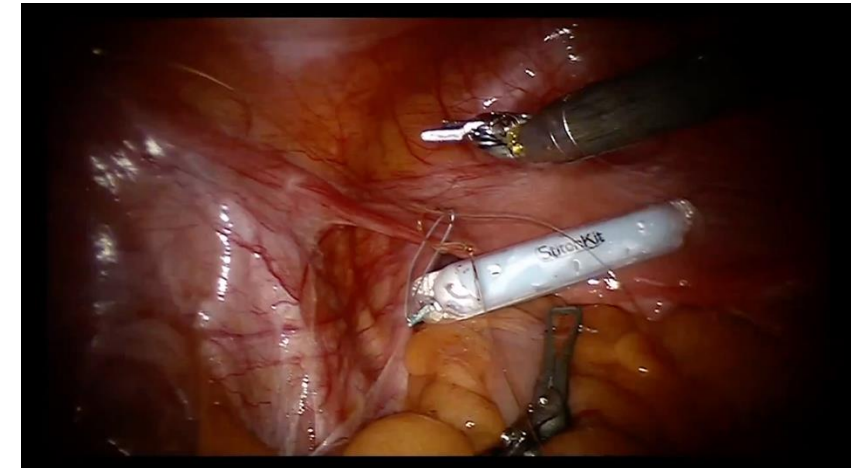
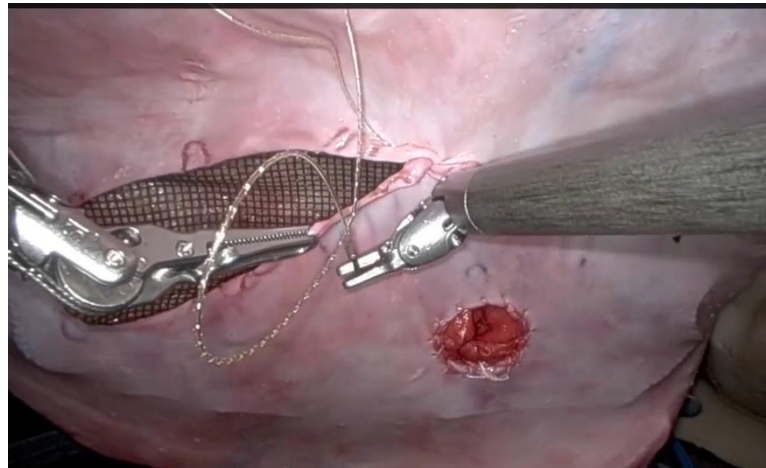
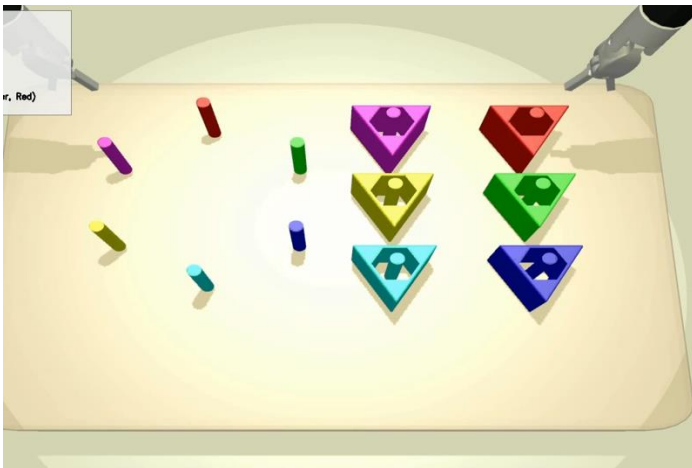
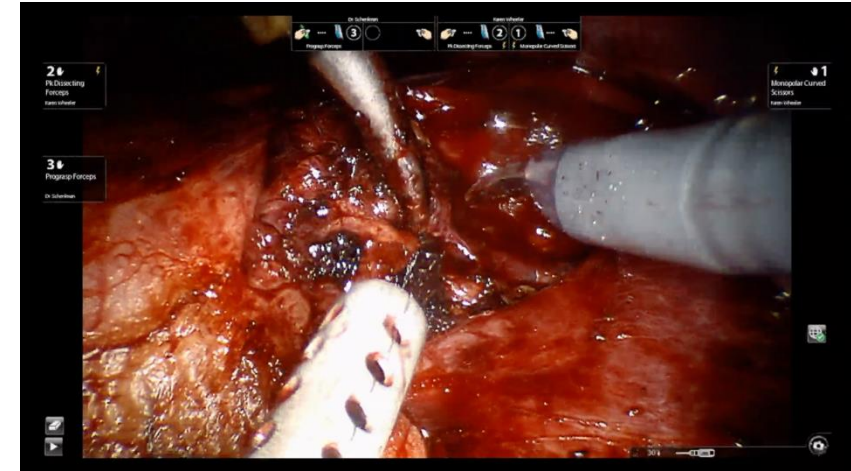
Virtual Reality Simulation

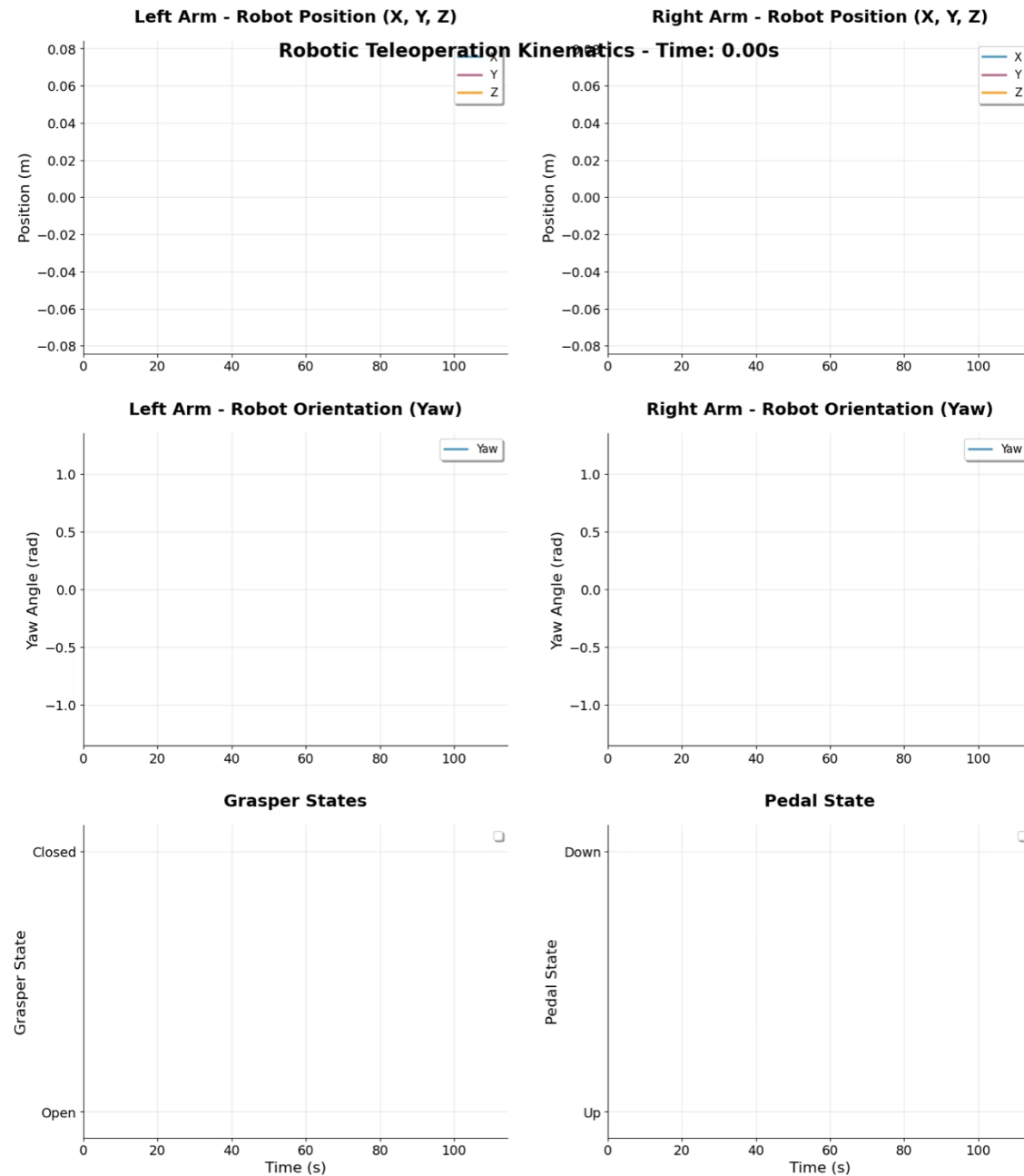


Dry/Wet Lab Simulation

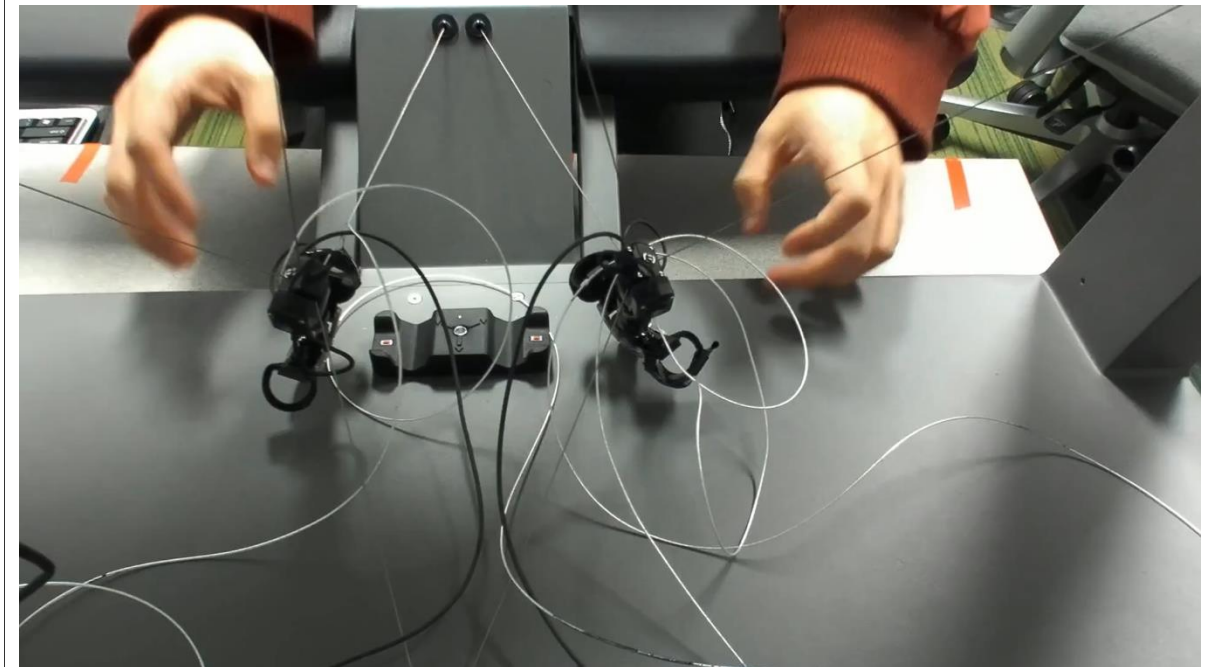


Real Surgery





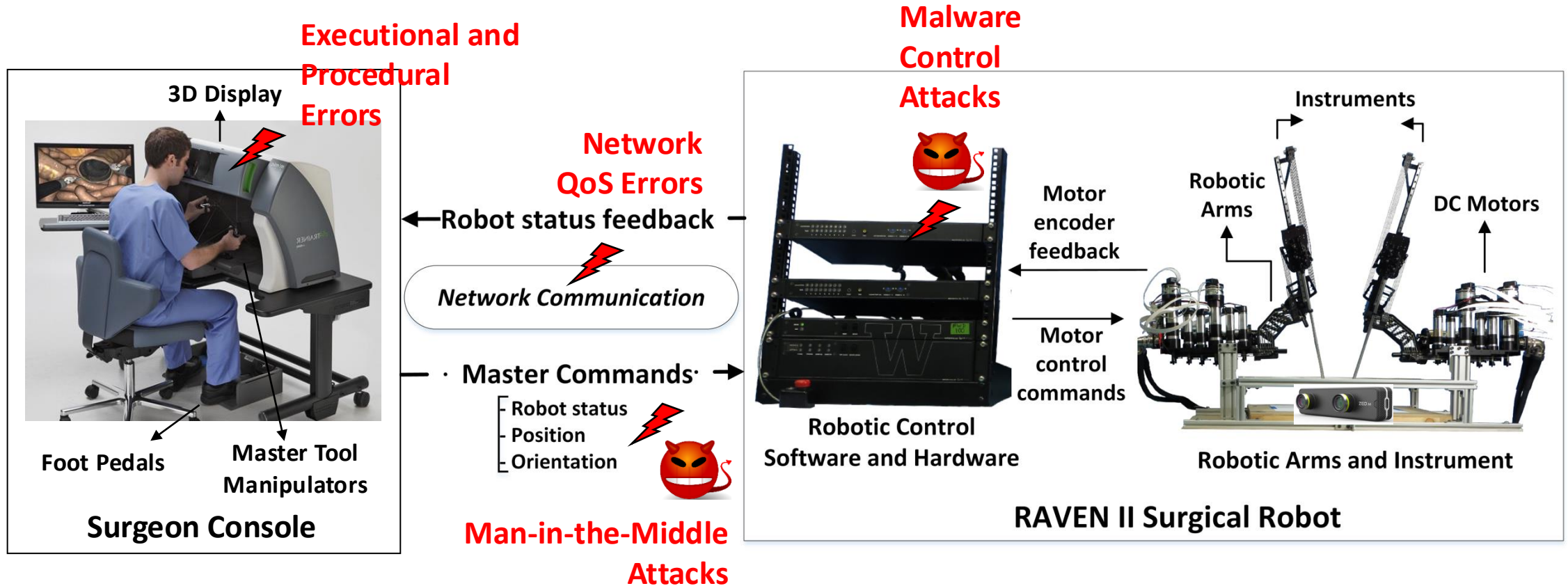
Kinematics, Graspers and Clutch



Master Tool Manipulators



Accidental Faults and Malicious Attacks



H. Alemzadeh, et al., "Adverse Events in Robotic Surgery: A Retrospective Study of 14 Years of FDA Data". *PLOS ONE*, 2016.

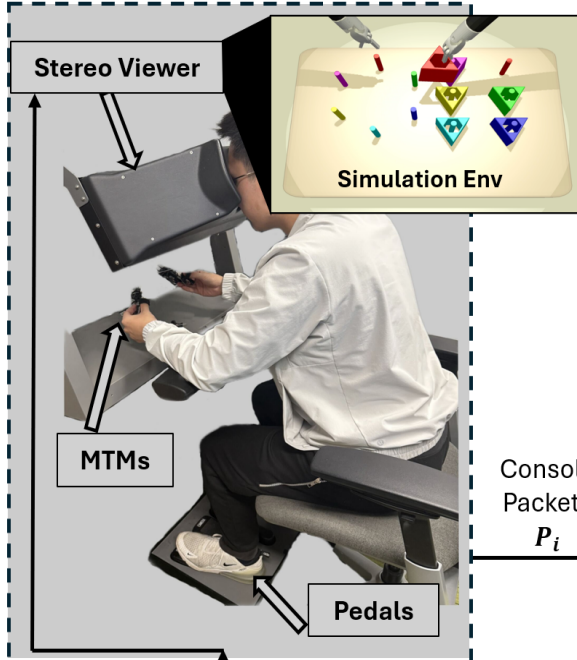
K. Hutchinson, et al., "Analysis of Executional and Procedural Errors in Dry-lab Robotic Surgery Experiments," *IJMRCAS*, 2022.

H. Alemzadeh, et al., "Targeted Attacks on Teleoperated Surgical Robots: Dynamic Model-Based Detection and Mitigation", *IEEE DSN*, 2016. (Test of Time Award 2026)

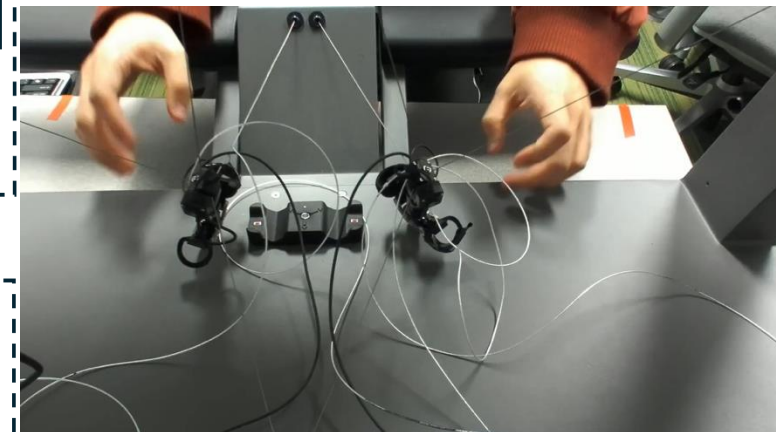
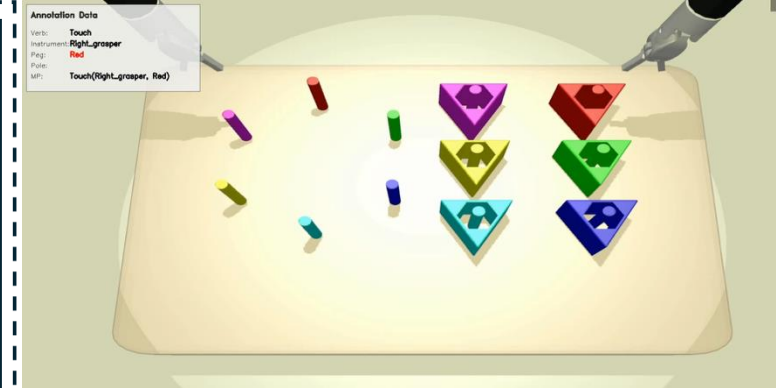
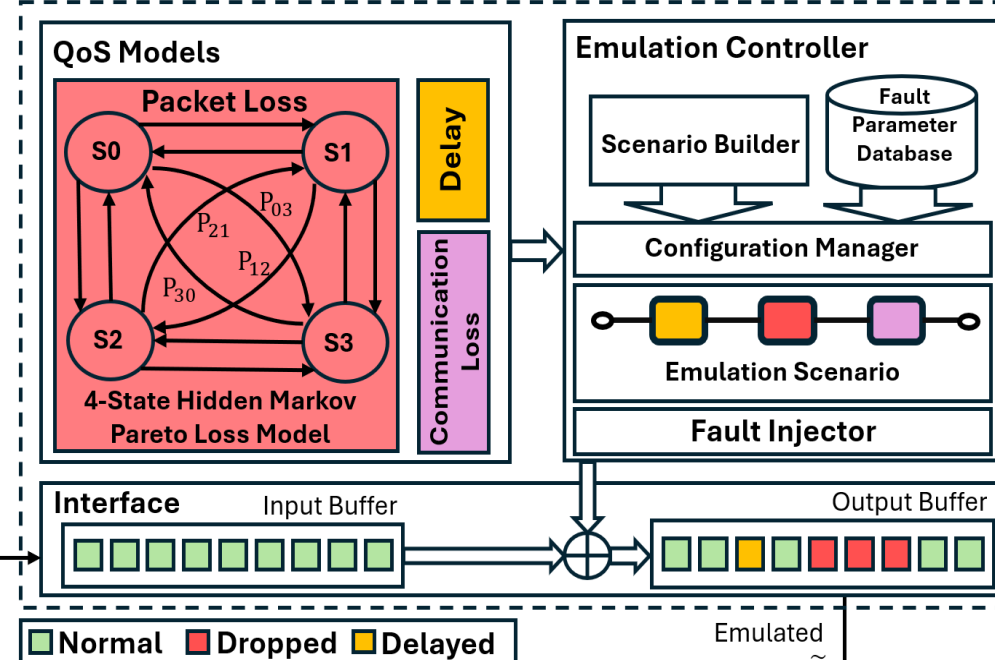


Network QoS Emulation

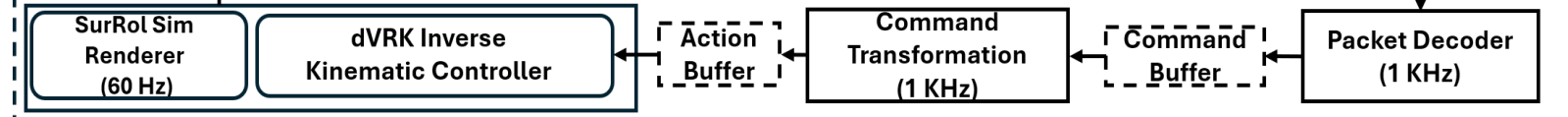
Surgeon Side



QoS Emulator (NetFI)



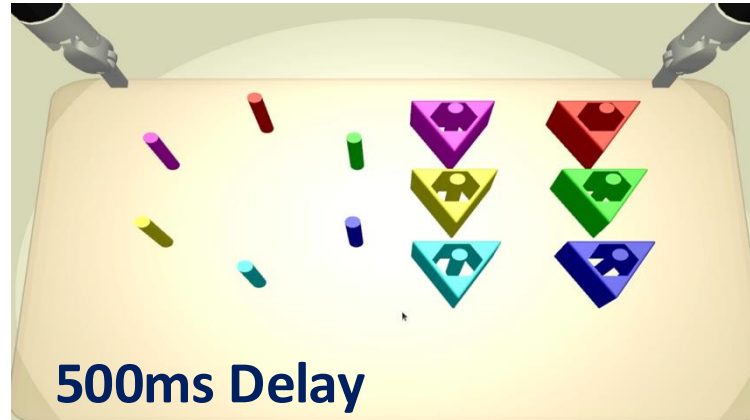
Patient Side





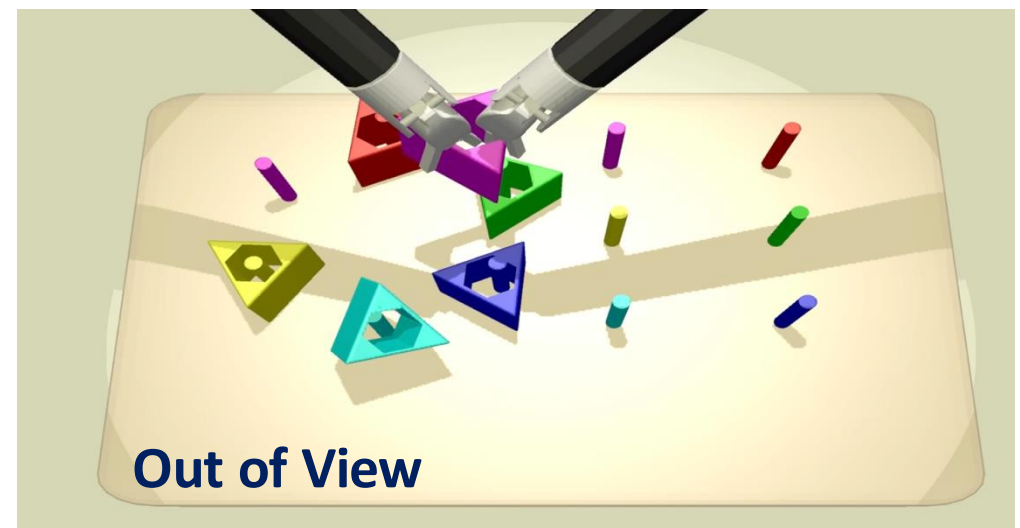
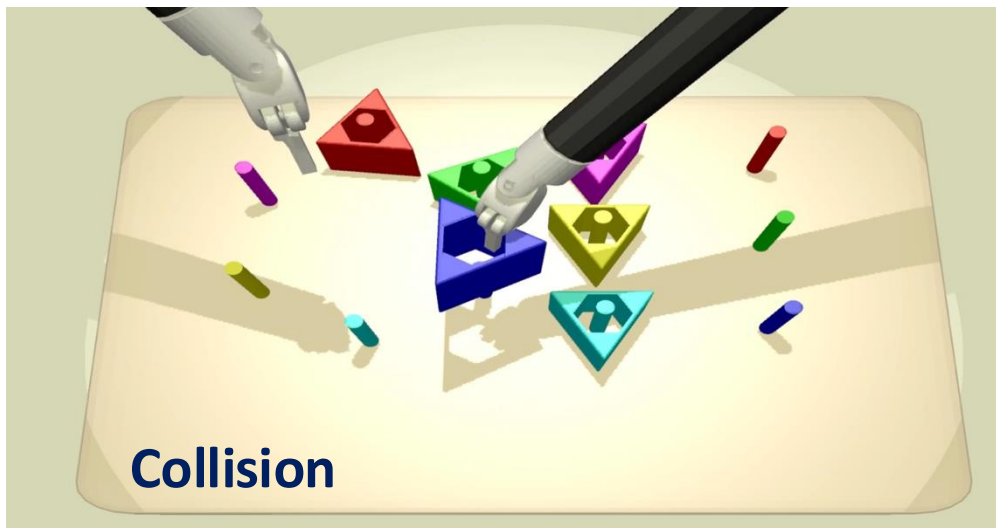
QoS Degradation Scenarios

Degradation Type	Severity	Target	Model Parameters θ
Packet Loss	PLM-1	10% Loss	$\alpha_0 = 4.43, \lambda_0 = 1.64; \alpha_1 = 4.97, \lambda_1 = 0.27$
	PLM-2	30% Loss	$\alpha_0 = 4.60, \lambda_0 = 3.55; \alpha_1 = 3.90, \lambda_1 = 1.47$
	PLM-3	50% Loss	$\alpha_0 = 3.86, \lambda_0 = 3.32; \alpha_1 = 3.80, \lambda_1 = 6.05$
Delay	DLM-1	100 ms	$D_{\min} = 70, w = [.73, .27], \lambda = [.027, .085]$
	DLM-2	300 ms	$D_{\min} = 210, w = [.892, .108], \lambda = [.011, .016]$
	DLM-3	500 ms	$D_{\min} = 406, w = [.895, .105], \lambda = [.010, .015]$
Communication Loss	CLM-1	10% Loss	$L \sim U(0, 3000)\text{ms}, C = 8500\text{ms}$
	CLM-2	30% Loss	$L \sim U(0, 1000)\text{ms}, C = 1000\text{ms}$
	CLM-3	50% Loss	$L \sim U(0, 2000)\text{ms}, C = 1000\text{ms}$





Example Executional Errors





Common Failure Modes



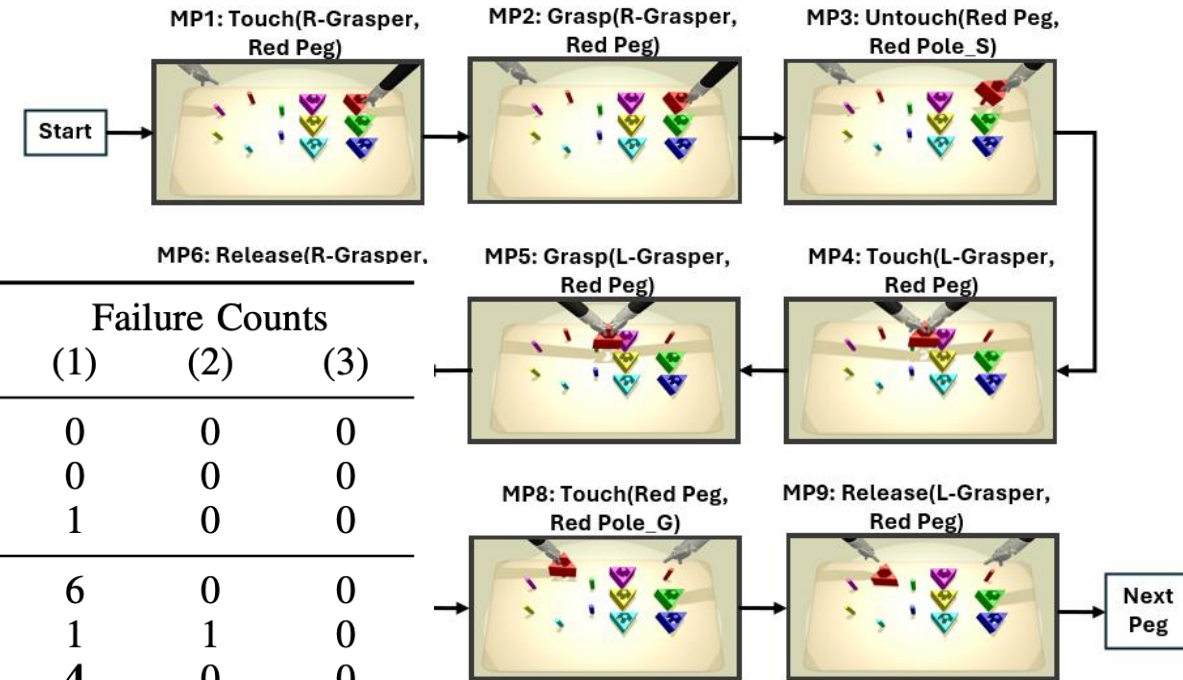


Context-Specific Safety Violations

- Operational context and errors

- Correlation between error types and activities
- Critical gestures, motion primitives, and errors

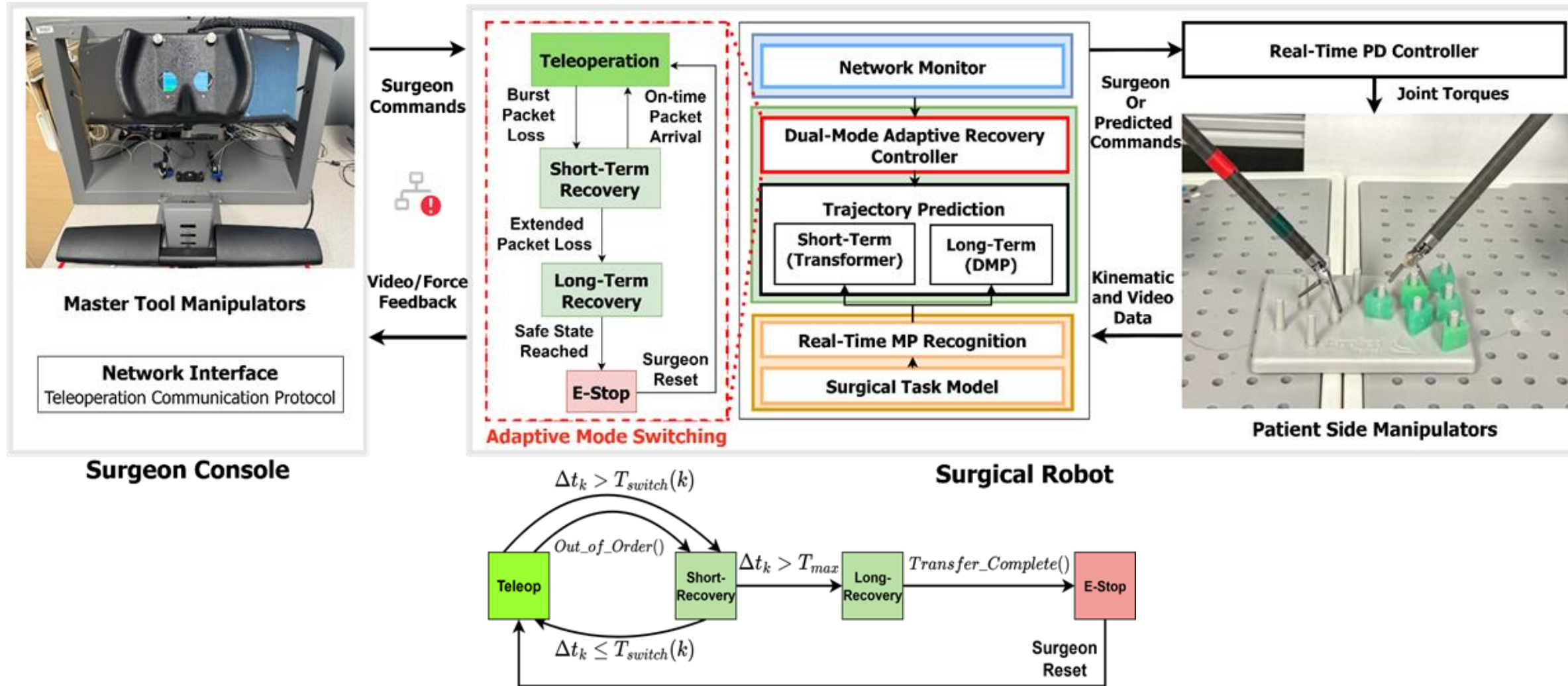
Conditions	Success Rate (%)	Error Counts				Failure Counts		
		(1)	(2)	(3)	(4)	(1)	(2)	(3)
Normal-1	100.0 (90/90)	8	3	0	0	0	0	0
Normal-2	100.0 (90/90)	9	6	3	0	0	0	0
Normal-3	98.8 (86/87)	4	6	2	0	1	0	0
PLM-1	93.2 (82/88)	11	12	5	0	6	0	0
PLM-2	97.8 (88/90)	15	5	7	0	1	1	0
PLM-3	95.5 (85/89)	17	11	6	0	4	0	0
DLM-1	95.6 (86/90)	6	8	6	0	3	1	0
DLM-2	92.2 (83/90)	13	18	14	2	4	1	2
DLM-3	92.2 (83/90)	37	12	26	0	5	0	2
CLM-1	100.0 (90/90)	5	9	3	0	0	0	0
CLM-2	95.5 (85/89)	26	21	20	0	4	0	0
CLM-3	97.7 (87/89)	13	11	13	0	2	0	0



Most Susceptible MPs MP1 MP3 & MP4 MP4 MP7 MP4 MP8 MP4

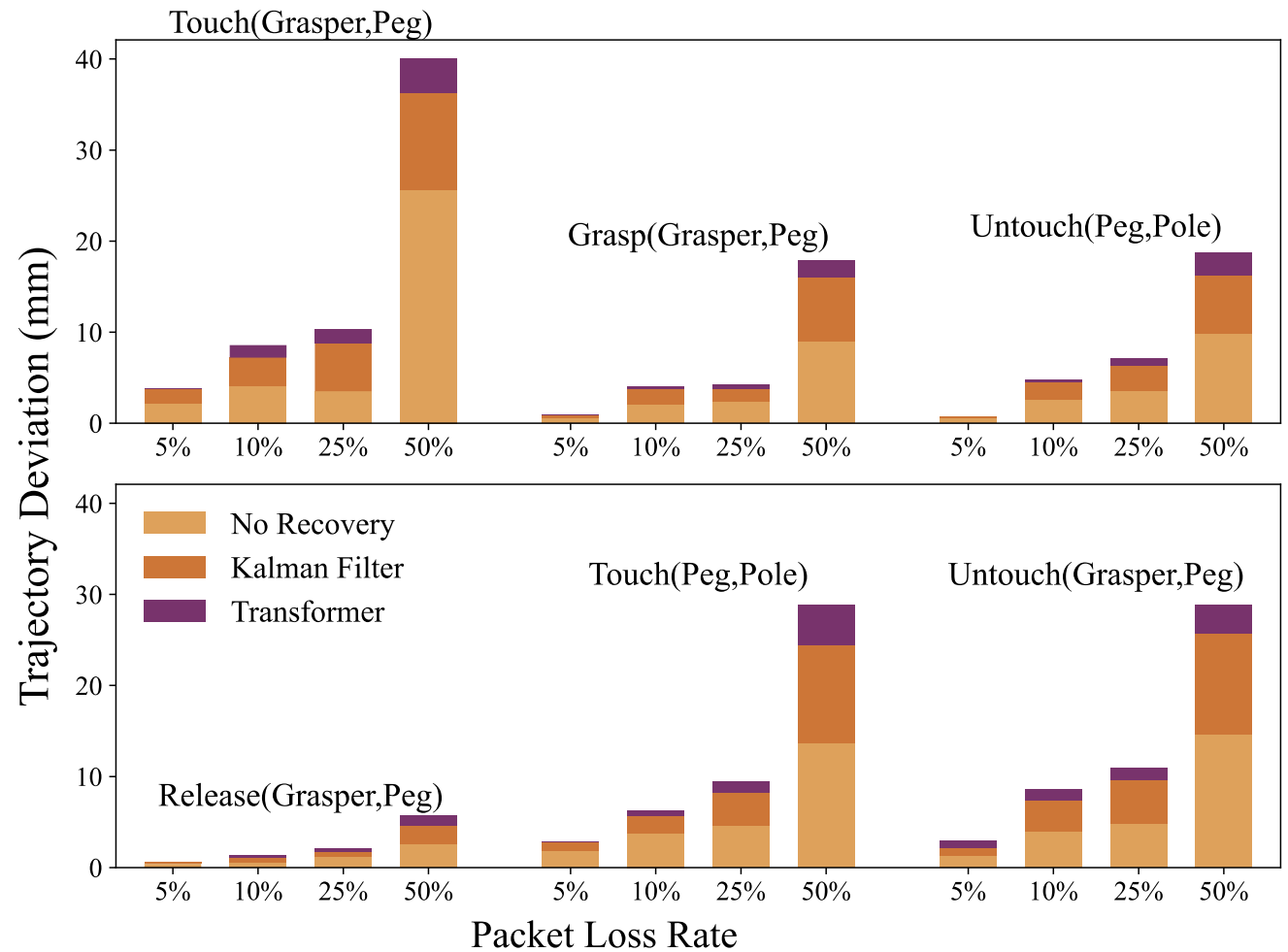
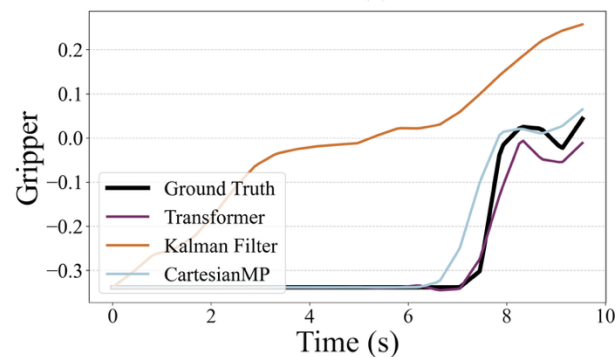
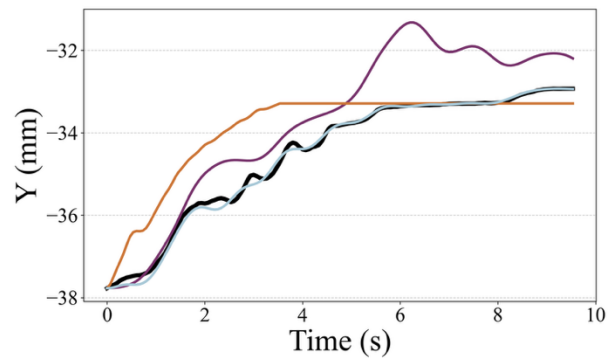
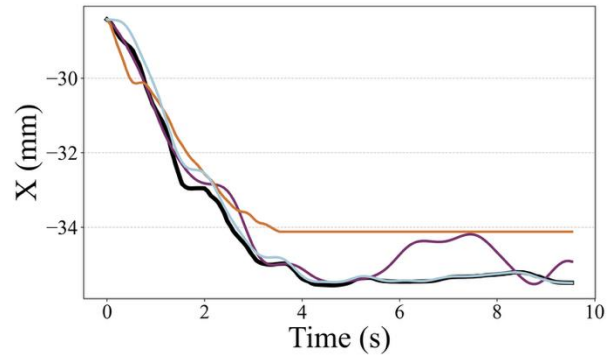


Activity-Aware Error Recovery



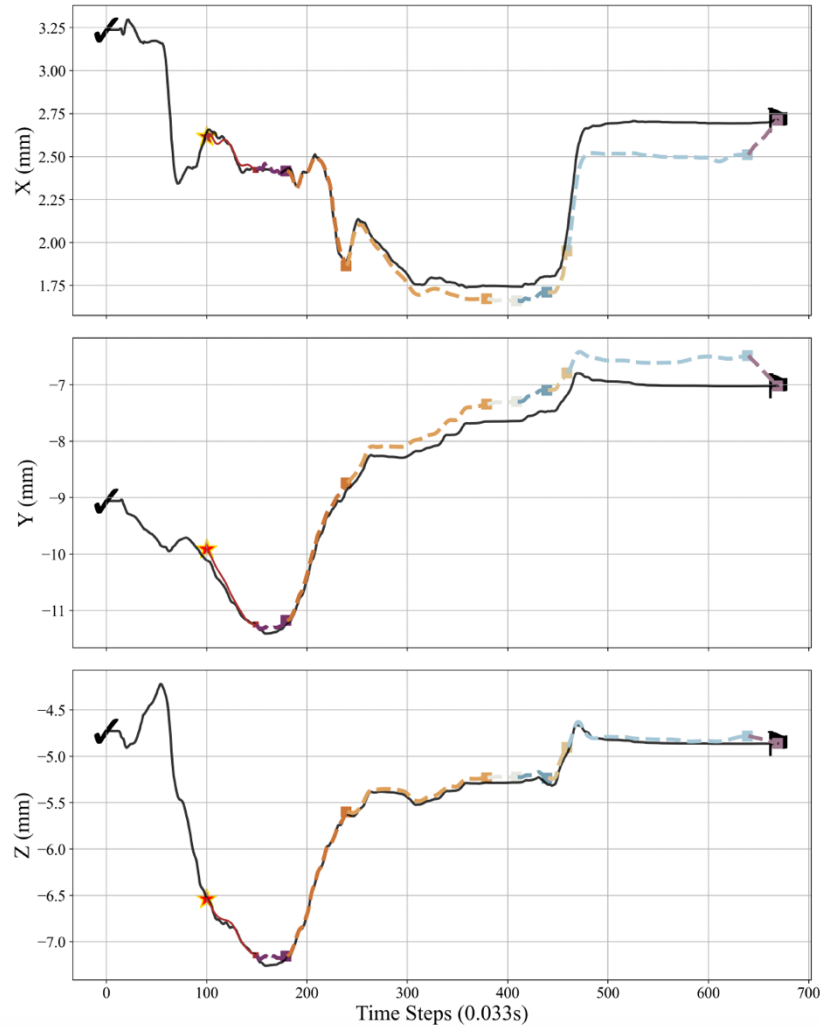


Activity Aware Short-Term Recovery

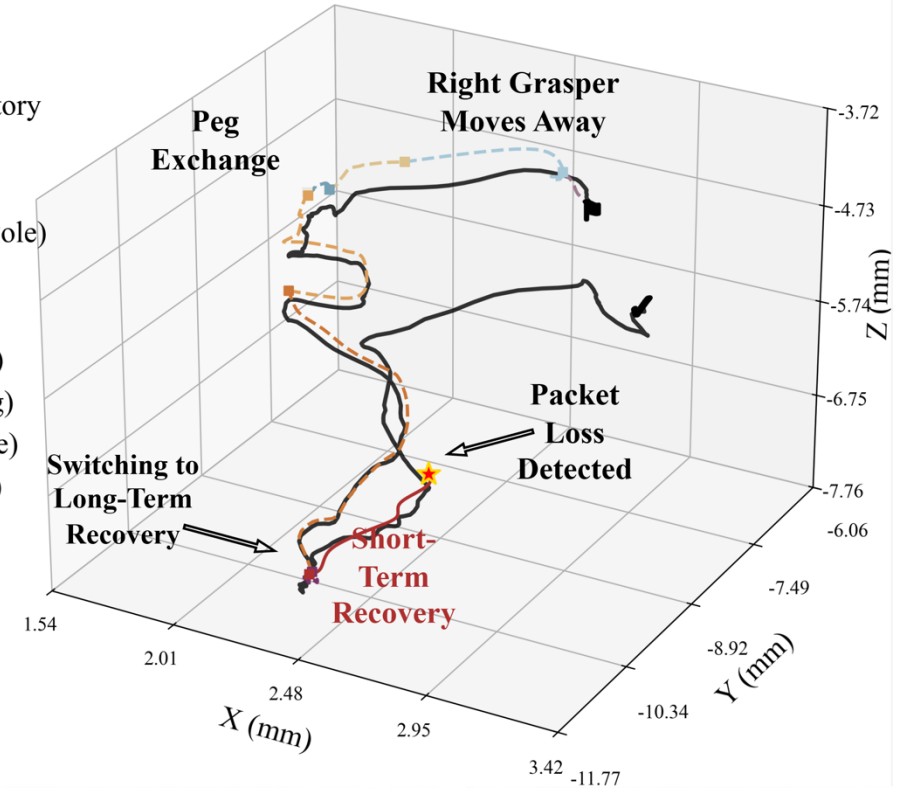




Activity Aware Dual Mode Recovery



- Original Trajectory
- Touch(R, Peg)
- Grasp(R, Peg)
- Untouch(Peg, Pole)
- Touch(L, Peg)
- Grasp(L, Peg)
- Release(R, Peg)
- Untouch(R, Peg)
- Touch(Peg, Pole)
- Release(L, Peg)
- End
- Start
- Error happened



Touch(L-Grasper, Peg)



Untouch(Peg, Pole)



Touch(R-Grasper, Peg)



Future Directions

- Hybrid knowledge and data-driven monitors outperform solely knowledge-based and ML-based monitors, while improving transparency and robustness.
- Context-aware models could facilitate successful hazard mitigation by increasing prediction accuracy and timeliness as well as interpretability.
- Ongoing work:
 - Multimodal data collection and hierarchical labeling (both faulty and fault free)
 - Combined *Human-Cyber-Physical* context modeling, specification and inference
 - *Context-aware* mitigation
- **Testbeds and Datasets on Git:** <https://github.com/UVA-DSA/>



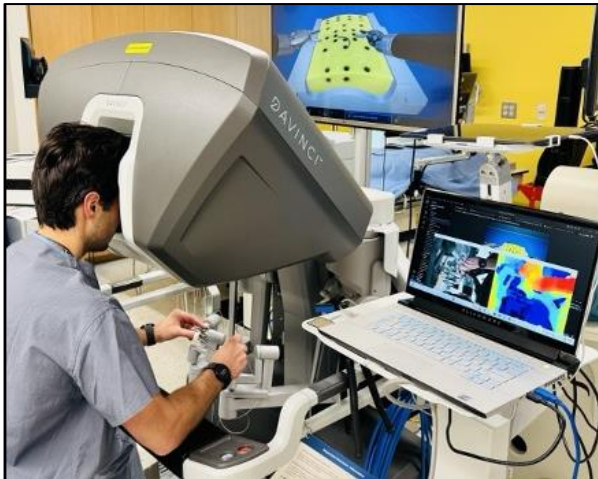
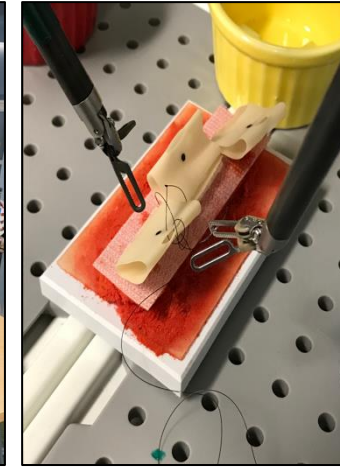
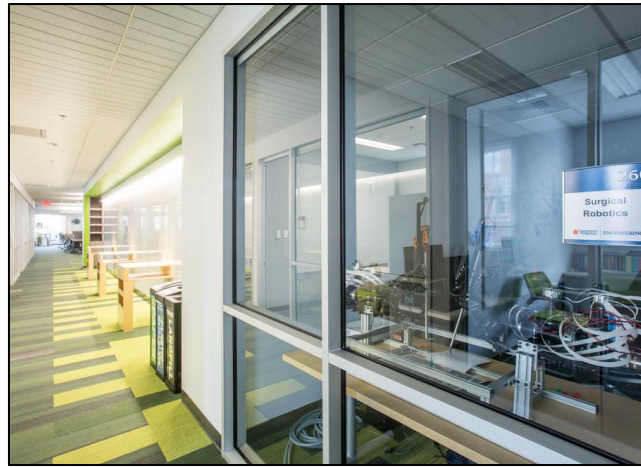
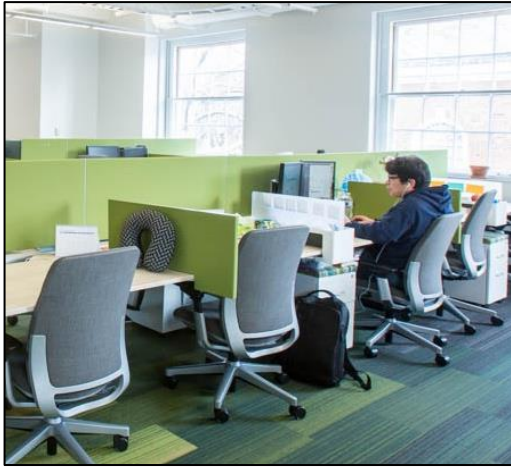
Thank You



Dependable Systems and Analytics Lab



<https://uva-dsa.github.io>



LINK
LAB



UNIVERSITY
of VIRGINIA
ENGINEERING



UNIVERSITY
of VIRGINIA
HEALTH SYSTEM



Commonwealth
Cyber Initiative

NIST

National Institute of
Standards and Technology
U.S. Department of Commerce

