جامعة الملك عبدالله للعلوم والتقنية King Abdullah University of Science and Technology Resilient Computing and
 Cybersecurity Center



Resilient Computing and Cybersecurity Center

Safe and Secure AI/ML-driven Autonomous Vehicles? Not anywhere near yet ...

https://rc3.kaust.edu.sa

Paulo Esteves-Veríssimo, Professor, Director King Abdullah University of Science and Technology, KAUST Resilient Computing and Cybersecurity Center – RC3

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86th IFIP WG 10.4 Meeting, 27th - 30th June 2024, Gold Coast, Australia



rc3.kaust.edu.sa



The world **BECAME** an immense, interconnected, infrastructure

The world is becoming an immense, interconnected, infrastructure



The world is becoming an immense, interconnected, infrastructure **CYBER-PHYSICAL &** INTERNET-OF-**IINGS SYSTEMS** CYBER-PHYSICAL & INTERNET-**OF-THINGS** SYSTEMS INTERNET, CLOUD & WEB COMPUTING AND COMM'S CYBER-PHYSICAL & CYBER-PHYSICAL INTERNET-OF-THINGS SYSTEMS & INTERNET-OF-THINGS SYSTEMS



Resilient Computing and Cybersecurity Center



Brief Analysis of the Cyberspace today

- distributed infrastructure:
 - Pervasive CPS and IoT; seamless integration with Internet/Cloud/Web.
- highly exposed to threats:
 - Huge *pressure to go "digital"*: Govs; BigTechs; Social nets.
- steadily increasing software vulnerabilities:
 - Common SW yearly rate increased 2-3-fold; CPS/IoT in great increase
- degradation of the threat surface:
 - Even more powerful adversary actors and sophisticated exploit tools



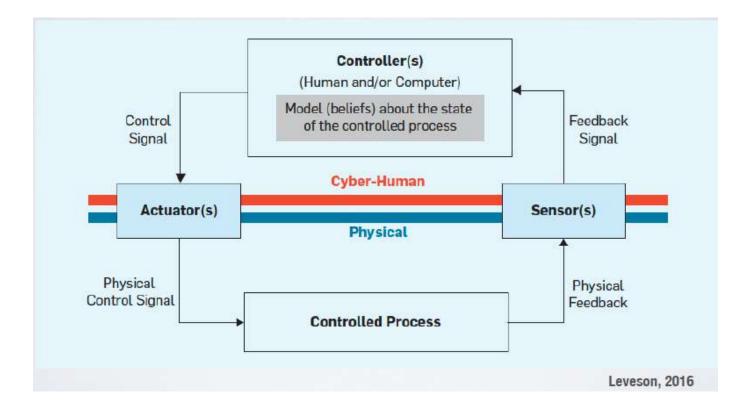






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The problem of vehicle control

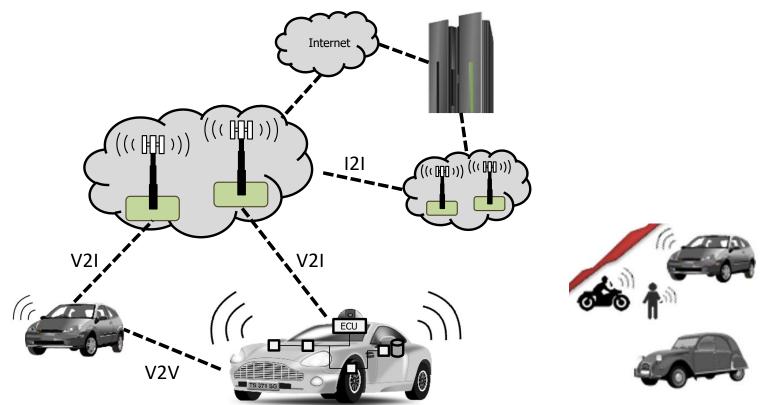




Autonomous Vehicle Ecosystem



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Towards Safe and Secure Autonomous and Cooperative Vehicle Ecosystems. Lima, A; Rocha, F; Volp, M; Verissimo, P. in Proc's 2nd ACM Workshop on Cyber-Physical Systems Security and Privacy (2016, October) @CCS, Vienna-Austria



Is the *autonomous vehicles world* (cyber)-safe?

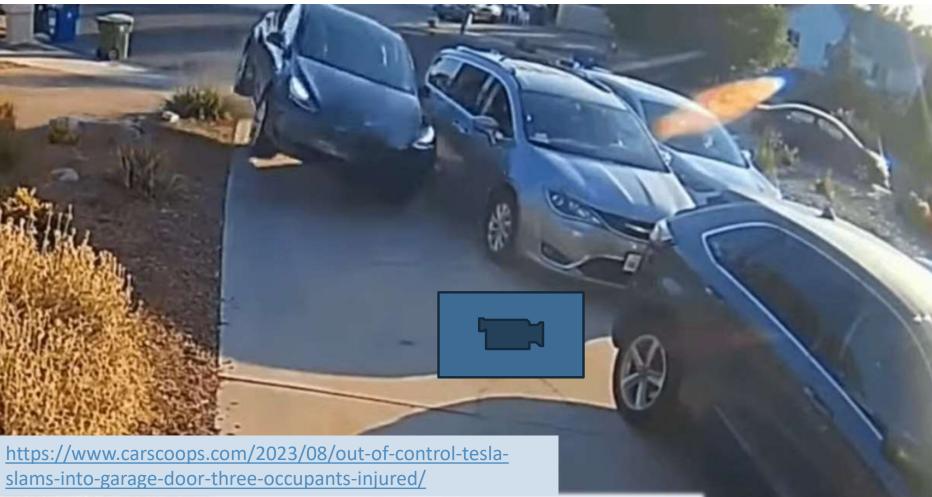




It can get really bad... BAD as in 'out of control'



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It can get really bad... BAD as in 'blind'



https://www.reddit.com/r/ThatsInsane/comments/r3fxpi/tesla radar did not recognize a camel cusing an/?rdt=49822



Tesla vision did not recognize a camel, causing an accident in the UAE



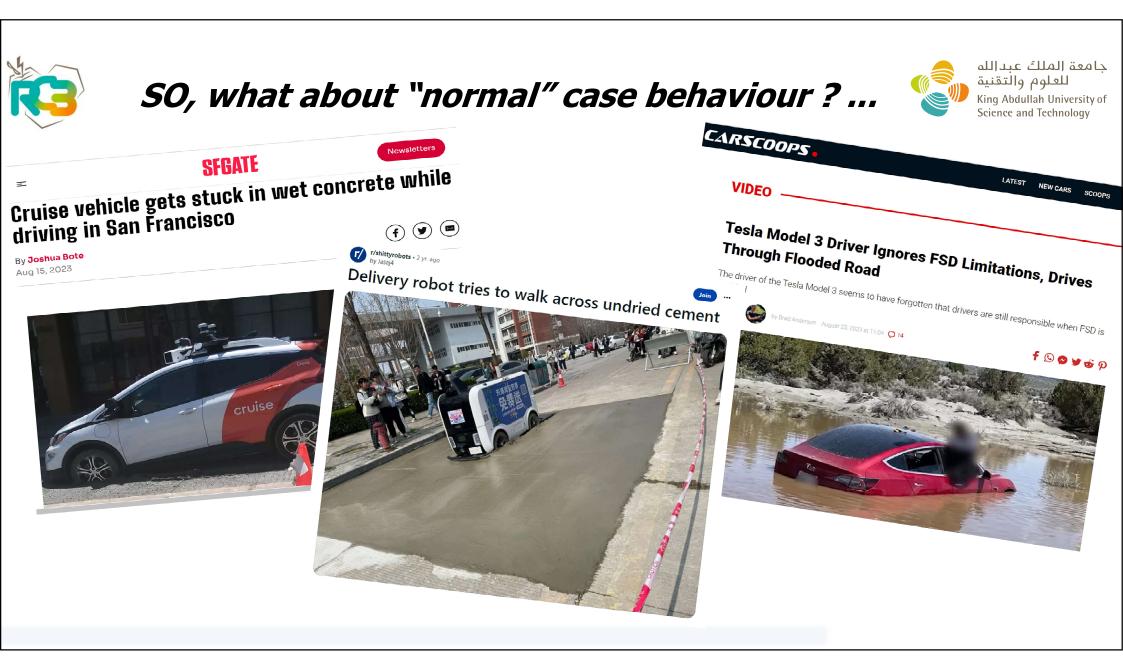
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Snake? Dust Cloud? Bush? Naaah, nothing ahead!





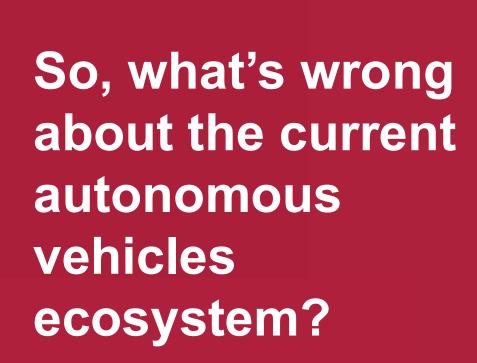




Is the *autonomous vehicles world* (cyber)-secure?











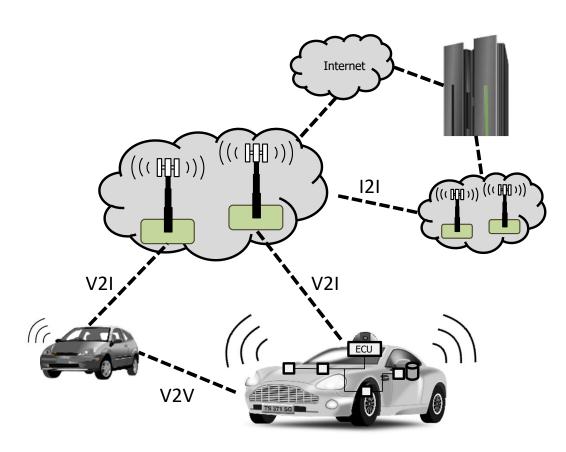
- To start with, the very notion that there is an ecosystem is inexistent
- An analysis of the ecosystem as a critical infrastructure is missing



Autonomous Vehicle Ecosystem



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Overall, are *automated control* ecosystems secure and/or safe?

Or are there relevant gaps?





Safety gap in automated control ecosystems







The SAFETY GAP in the autonomous vehicles area ...



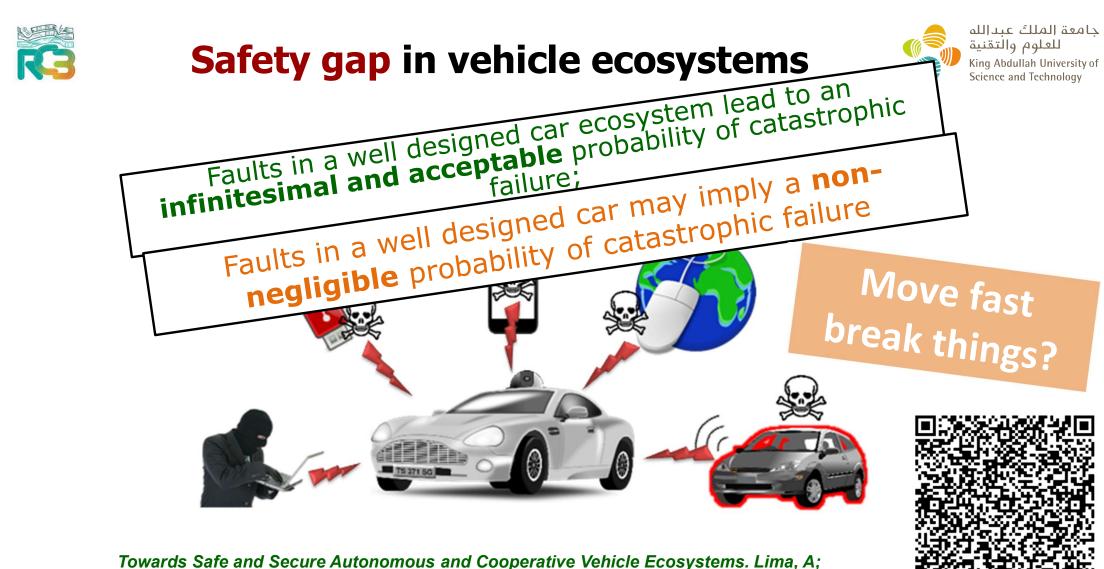
Safety gap in vehicle ecosystems





Or... maybe those reported accidents ... were not really just bad luck?



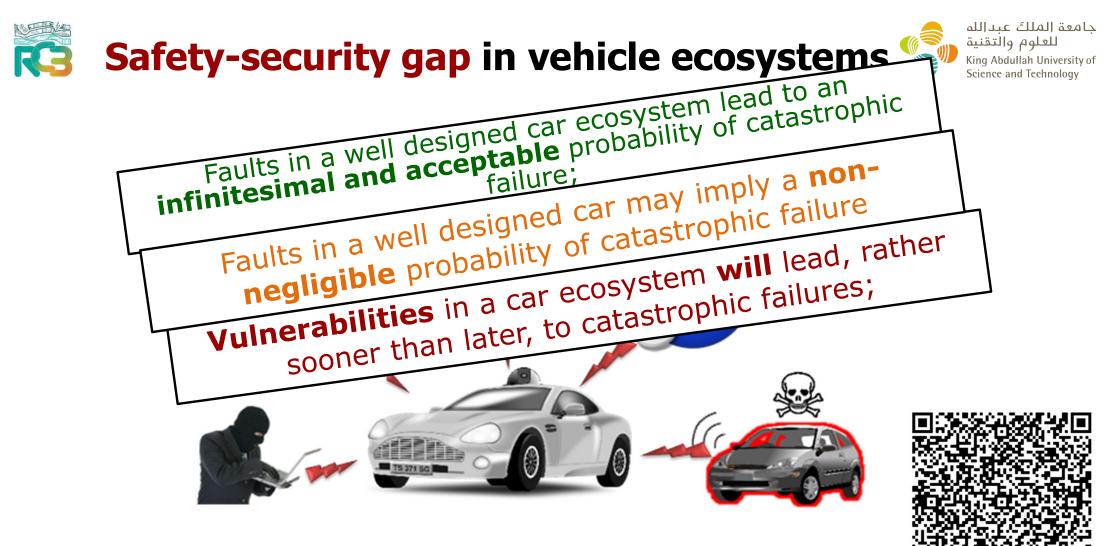


Towards Safe and Secure Autonomous and Cooperative Vehicle Ecosystems. Lima, A; Rocha, F; Volp, M; Verissimo, P. in Proc's 2nd ACM Workshop on Cyber-Physical Systems Security and Privacy (2016, October) @CCS, Vienna-Austria



But it can get worse:

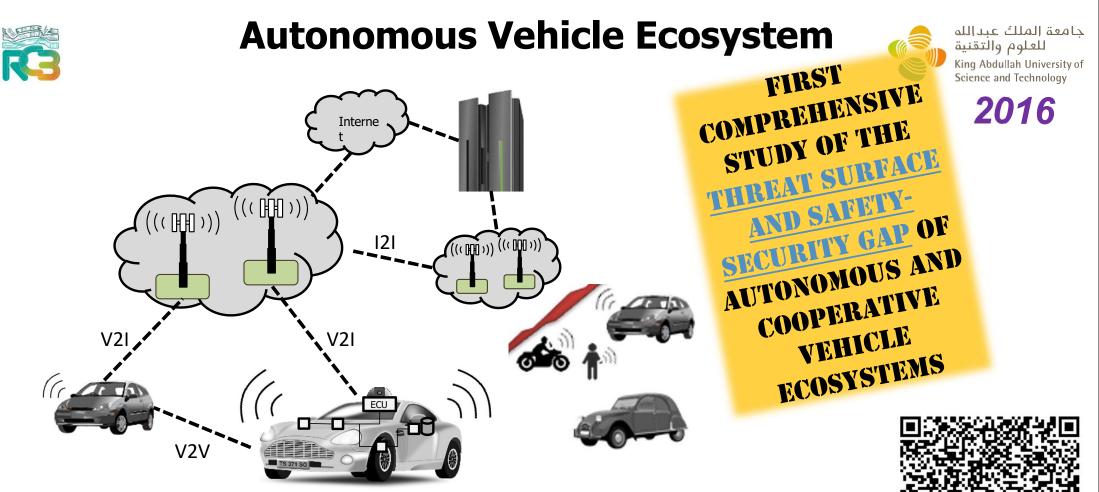
The SAFETY-SECURITY GAP in the autonomous vehicles area (land, air, space)



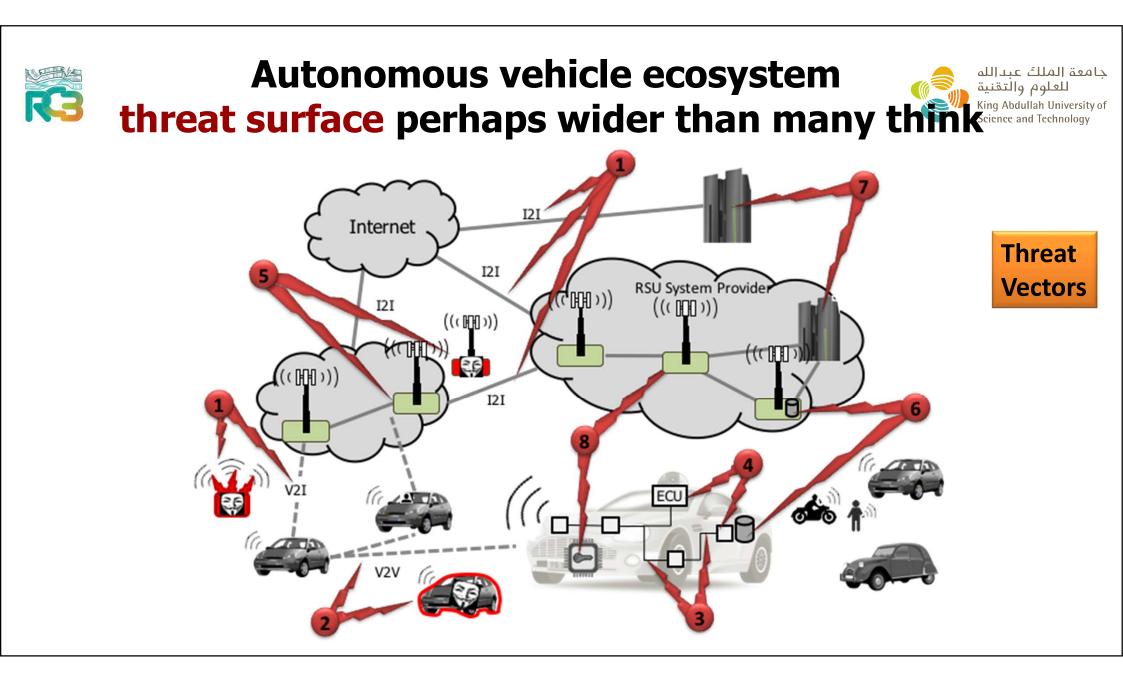
Towards Safe and Secure Autonomous and Cooperative Vehicle Ecosystems. Lima, A; Rocha, F; Volp, M; Verissimo, P. in Proc's 2nd ACM Workshop on Cyber-Physical Systems Security and Privacy (2016, October) @CCS, Vienna-Austria



What is the safety and security *THREAT SURFACE* in the autonomous vehicles *ECOSYSTEM*...?



Towards Safe and Secure Autonomous and Cooperative Vehicle Ecosystems. Lima, A; Rocha, F; Volp, M; Verissimo, P. in Proc's 2nd ACM Workshop on Cyber-Physical Systems Security and Privacy (2016, October) @CCS, Vienna-Austria





«IF IT AIN'T SECURE, IT AIN'T SAFE»



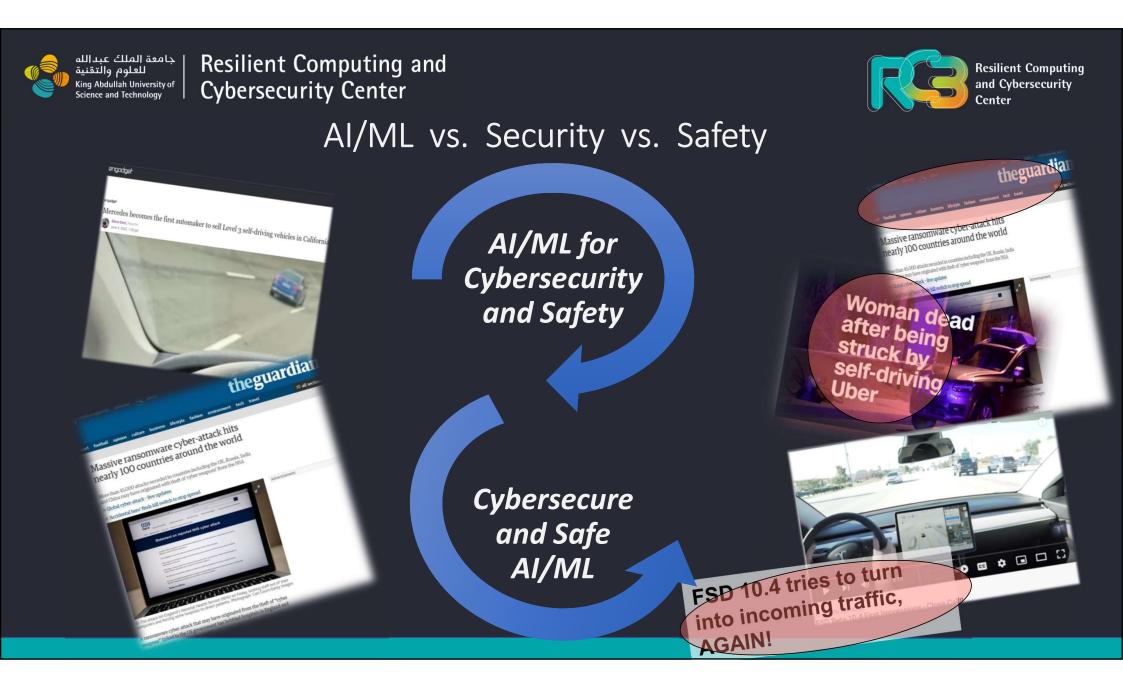


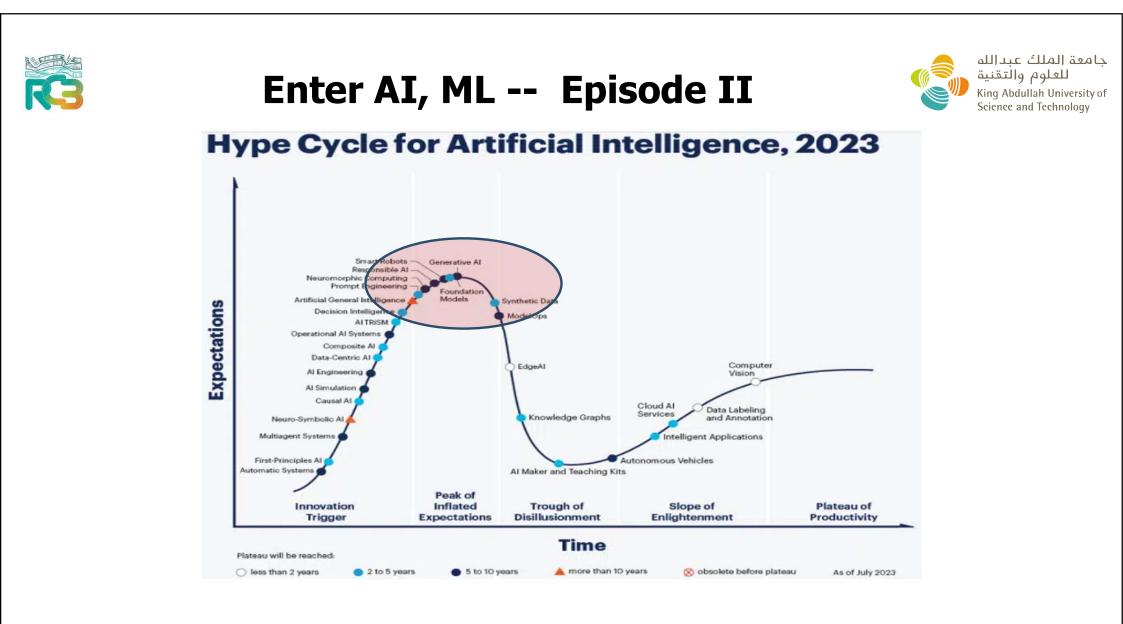


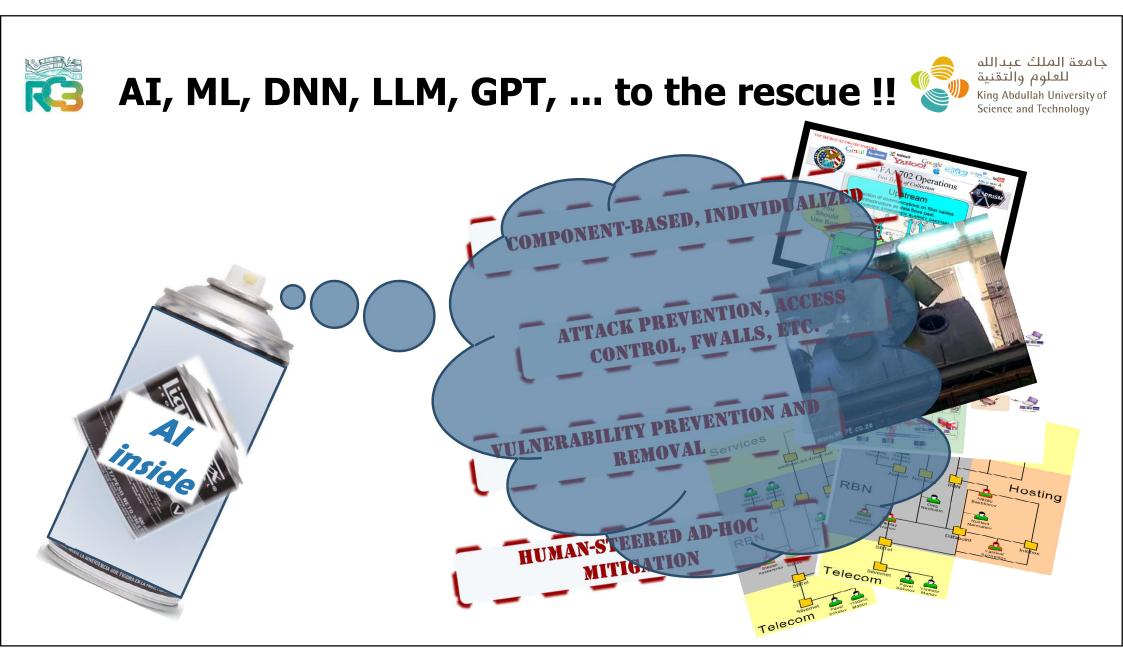
AI as band-aid?

The specific pitfalls of AI/ML for critical systems ...









Some myths and misconceptions about safety and security of autonomous vehicle control systems





Some misconceptions about ML-driven AV, on safety or security



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- AVs are safer than human-driven vehicles, because AVs don't do human-like errors
- Image recognition and models pretrained to all possibly know events are all that's needed
- Commercial AVs drove over 10Mio Kms, so have actually reached a very good confidence about robustness of their control models w.r.t. Safety

- See examples given...
- Stateless, fragile to unanticipated responses/emergent behavior, open environments unpredictability, semantic & coverage gap (V&V prob)
- To meet 95% safety confidence, 200M miles/fatality: need to test for 600M to 2B miles without seeing a fatality.
- «And you'll always have camels…»



Some misconceptions about ML-driven AV, on safety or security



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- NeuroSymbolic, PhysicsInformed approaches will fix things
- Invidualistic cars OK, no need for ecosystem
- Security can be fixed as in IT systems

- NS and PI improve, but are fixes at data level. In a control system, system awareness is paramount.
- Invidualistic cars worsen safety, cooperation is key for AV driving safety
- Without security there is no safety
- Worse in CPS/IoT scenario



Homogeneous ML-based systems cannot give strong assurance and resilience guarantees



Status-quo

Autonomous cars use ML-powered multi-sensor perception (mainly vision) and control, and sometimes redundant modules to which the MLearned module hands over in case of problems.

Assurance

LOW- Infeasible to provide reliable figures/conclusions, impossible to certify

Resilience

 LOW- Fair success in handling unforeseen, emergent or out-ofenvelope behaviours; often even blind to those situations





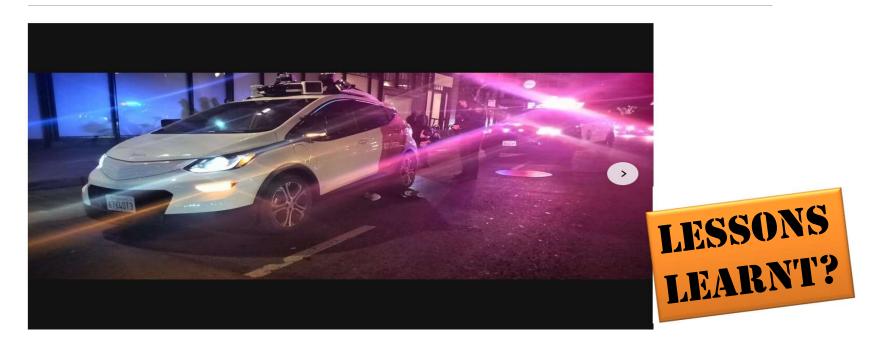
BAY AREA // SAN FRANCISCO

Driver hits woman in S.F., then Cruise driverless car runs her over; photo shows victim trapped جامعة الملك عبدالله للعلوم والتقنية

King Abdullah University of

Science and Technology

Jordan Parker, Nora Mishanec Oct. 2, 2023 | Updated: Oct. 3, 2023 3:52 p.m.





One of Uber's Self-Driving Cars Hit and Killed a Woman in Arizona

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Self-driving Uber car that hit and killed woman did not recognize that pedestrians jaywalk

The automated car lacked "the capability to classify an object as a pedestrian unless that object was near a crosswalk," an NTSB report said.



Dashcam video of deadly self-driving Uber crash released

DISASTERS

By Nicole Darrah , Fox News

Published March 22, 2018 12:45am EDT | Updated March 22, 2018 12:59am EDT

00000



Dashcam catches the moment self-driving Uber hits pedestrian



The serious ecosystem security risks



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Philosophical side of the problem:

«Control the physics of event interleaving in autonomous object ecosystems, acting in real time, in open and largely unpredictable environments»

Solutions? ...





A part of the long journey towards



RESILIENT AUTONOMOUS VEHICLE ECOSYSTEMS

More recently, A. Shoker and R. Yasmin at CybeResil@KAUST, M.Voelp CRITIX@UNILU, V. Rahli @U.BIRMINGHAM, J. Decouchant@U.DELFT







INFORMATION SOCIETY TECHNOLOGIES (IST) PROGRAMME



Project acronym: *CORTEX* Project full title: *CO-operating Real-time senTient objects: architecture and EXperimental evaluation*

- Members:
 - Iniv. Lisboa Fac. Of Sciences (PT) (proj. coord.)
 - Trinity College of Dublin (IR)
 - ☞ U. of Lancaster (UK)
 - ☞ U. of UIm (DE)
- Duration:
 - @ 3 years, starting April 2001
- Budget:

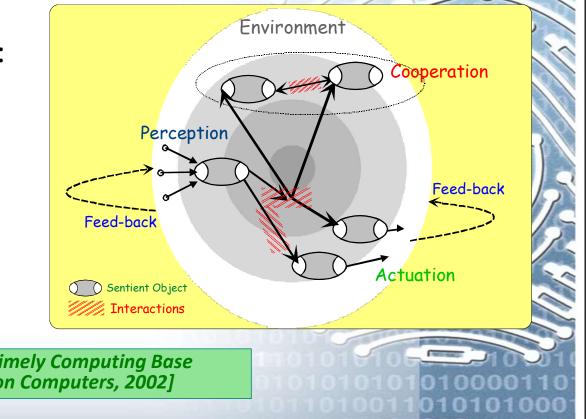
 [@] 2 MEURO

Sentient objects' interaction model

Abstract safe distributed real-time (DRT) autonomous control of free-running objects

should support the classes of R/T interactions objects need to perform:

- sentience of body and of environment;
- environment-to-object and vice-versa;
- object-to-object



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[P. Veríssimo and A. Casimiro. The Timely Computing Base Model and Architecture. IEEE Tacs. on Computers, 2002]

Overarching predicates

Generic predicates dictate system correctness in face of uncertainty, regardless of functional semantics

No-Contamination - violation of *normal* properties can happen (e.g. timeliness) but never entails violation of *critical* properties (e.g. logical safety) Property No-Contamination. *Given a* 1

Property No-Contamination. Given a history $\mathcal{H}(\mathcal{T}_{\mathcal{P}})$ derived from property $\mathcal{P} \in \mathcal{P}_A$, \mathcal{H} has no-contamination iff, for any timing failure in any execution $X \in \mathcal{H}$, no safety property in \mathcal{P}_A is violated.

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Coverage Stability – the coverage (*less than or equal to one*) of any property (e.g. timeliness) remains stable within bounds

Property Coverage Stability. Given a history $\mathcal{H}(T_{\mathcal{P}})$ derived from property $\mathcal{P} \in \mathcal{P}_{\mathcal{A}}$, with assumed coverage $P_{\mathcal{P}}$, \mathcal{H} has coverage stability iff the set of executions contained in \mathcal{H} is timely with a probability $p_{\mathcal{H}}$ such that $|p_{\mathcal{H}} - P_{\mathcal{P}}| \leq p_{dev}$, for p_{dev} known and bounded.



Dependable adaptation at work : Some fairly complete behaviour classes

- Define behaviour classes with regard to a property P:
- Adaptive
 - Recurrent violation of property P is accepted, if with a known and bounded degree and/or probability
- Safe

[Reconfigur. and adapt., Casimiro et al., SRDS'01]

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- Occasional violation of property P is accepted, if the system can react dependably
 [Timing error masking, Casimiro et al., DSN'02]
- Fail-safe
 - Any violation of property P is not acceptable and so the system must do a fail-safe/op routine (e.g. stop)

[Fail-safe operation, Casimiro et al., DSN'00]

KARYON PROJECT: Kernel-Based ARchitecture for safetY-critical cONtrol



 Provide system solutions for predictable and safe coordination of smart vehicles that autonomously cooperate and interact in an open and inherently uncertain environment

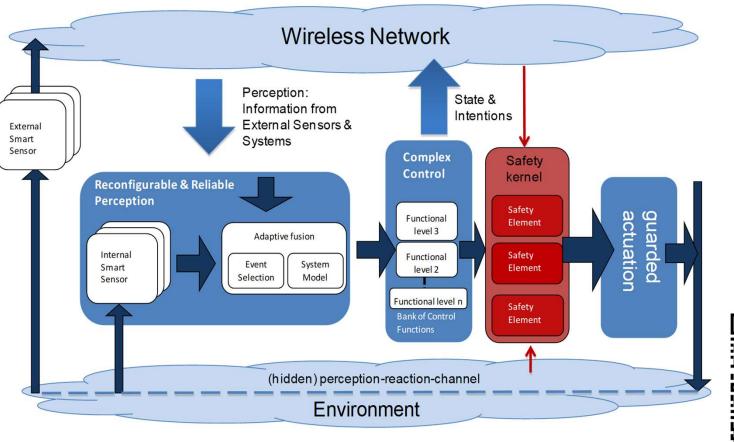
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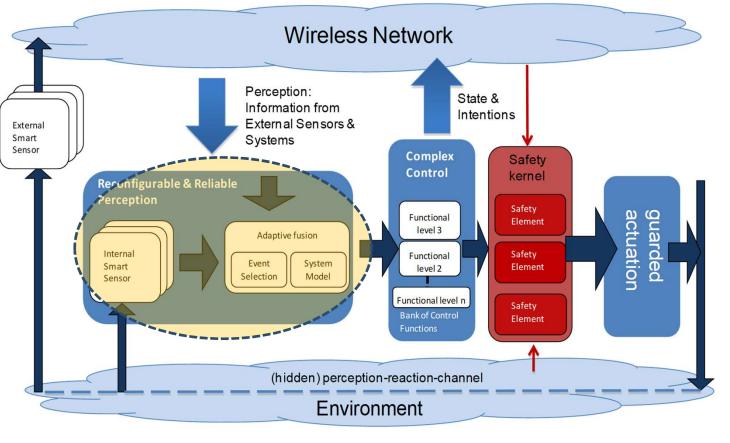








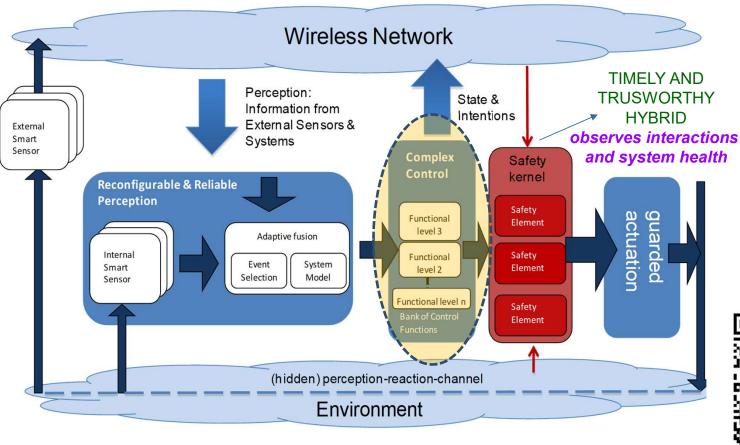








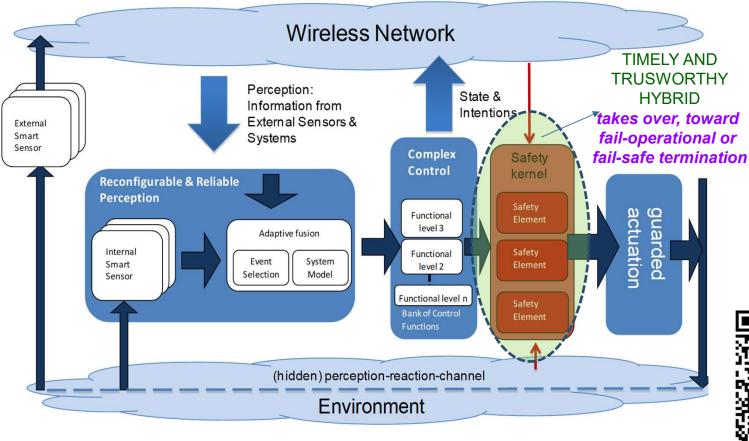




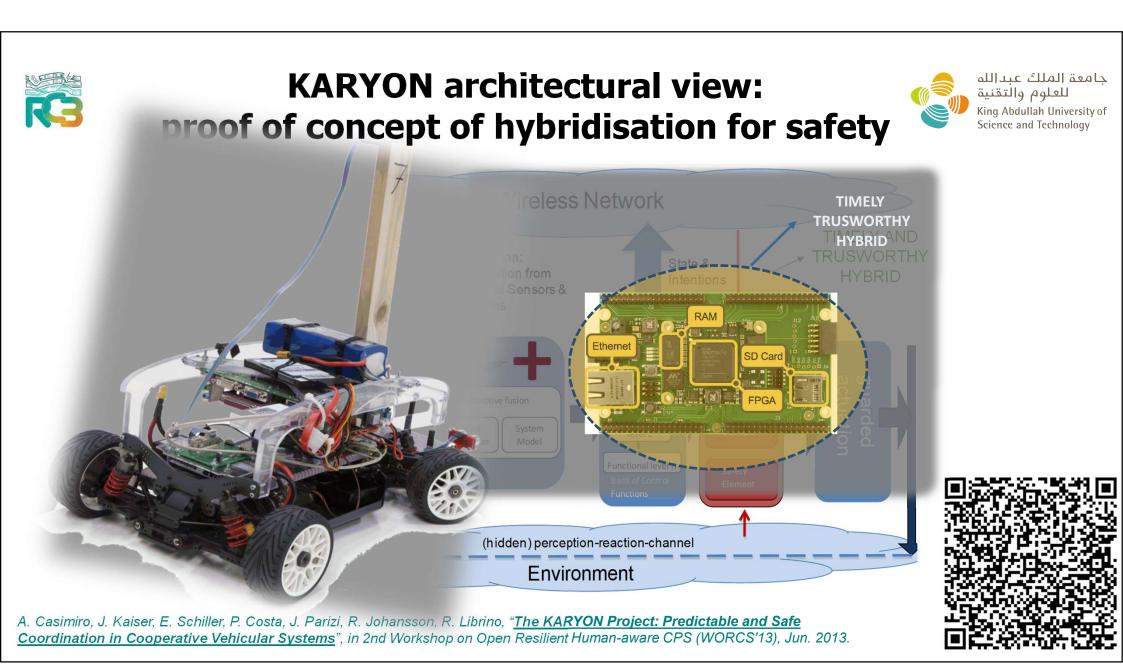












Intel Collaborative Research Institute for Collaborative Autonomous & Resilient Systems (CARS)

https://www.icri-cars.org/

ICRI-CARS » Resilient Autonomy » Mission

	ICRI-CARS
	Mission
	Research Topics
	Principal Investigators
	TU Darmstadt
	Aalto University
	Ruhr-University Bochum
Critix@	University of Luxembourg
	TU Wien
	Collaborations

Intel Collaborative Research Institute for Collaborative Autonomous & Resilient Systems (ICRI-CARS)

About Collaborative Autonomous and Resilient Systems (CARS)

The mission of the ICRI-CARS is the study of security, privacy, and safety of autonomous systems that may collaborate with each other. Examples include drones, self-driving vehicles, or collaborative systems in industrial automation. CARS introduce a new paradigm to computing that is different from conventional systems in a very important way: they must learn, adapt, and evolve with minimal or no supervision. A fundamental question therefore, is what rules and principles should guide the evolution of CARS?

This raises security related questions in multiple research areas:

1. Trustworthy and Controllable Autonomy

2. Fair and Safe Collaboration Tolerating Failures and Attacks

3. Intelligent Security Strategies for Self-Defense and Self-Repair

4. Integration of Safety, Security, and Real-time Guarantees

5. Autonomous Systems, Ecosystem Scenarios, Requirements, Case Studies, and Validation

6. Advanced Platform Security for Long-term Autonomy



SNT securityandtrust.lu **CRITIX** 2017-2020

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RG3

Resilience enablers for autonomous and collaborative vehicles



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Applied safe and secure DRT autonomous control --- general driving

- **Powerful architectures** (e.g. manycores), capable of: high-power computing, enabling security/safety defenses
- Secure and dependable *real-time* communication, V2V and V2I, despite accidents and attacks
- Automatic in-car resilience mechanisms for safety and security (gateway, ECU, trusted components/enclaves)

Resilience enablers for autonomous and collaborative vehicles



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Resilience enablers for autonomous and collaborative vehicles

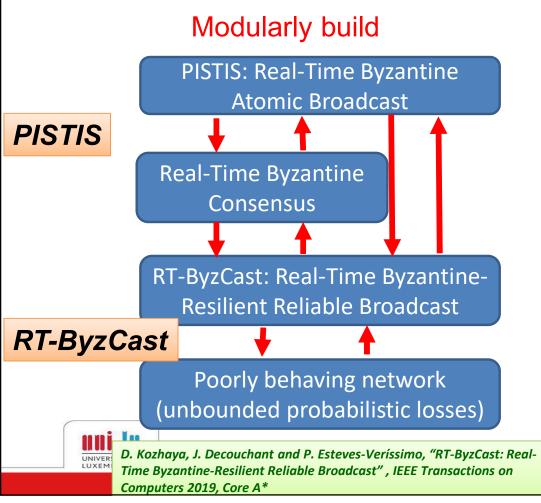


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Real-Time and Byzantine Resilient Digital Twins: SIT Beyond mere SCADA near-Real-Time Data Dissemination



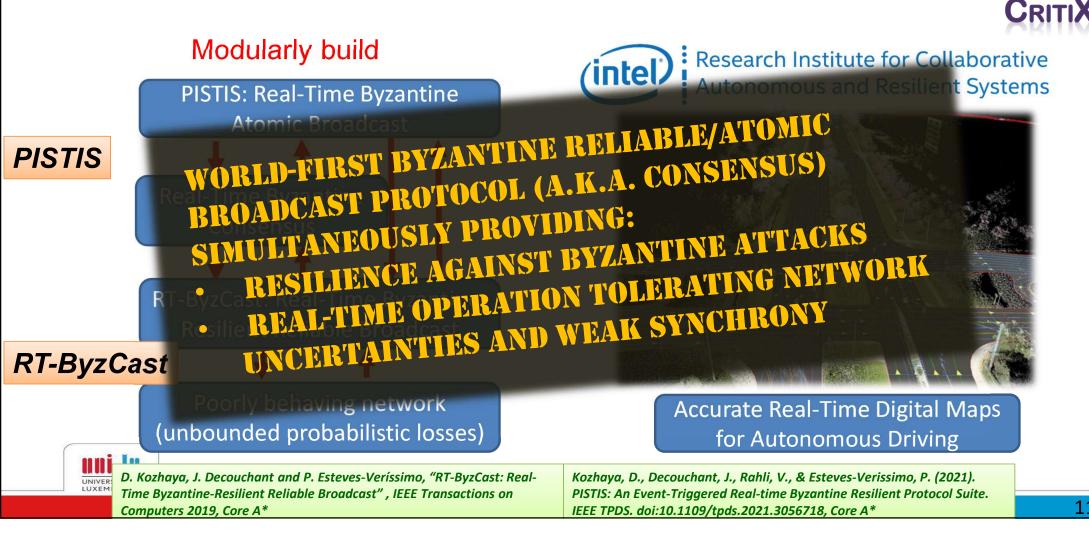




Accurate Real-Time Digital Maps for Autonomous Driving

Kozhaya, D., Decouchant, J., Rahli, V., & Esteves-Verissimo, P. (2021). PISTIS: An Event-Triggered Real-time Byzantine Resilient Protocol Suite. IEEE TPDS. doi:10.1109/tpds.2021.3056718, Core A* CRITI

Real-Time and Byzantine Resilient Digital Twins: SIT Beyond mere SCADA near-Real-Time Data Dissemination



Resilience enablers for autonomous and collaborative vehicles



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Resilient, Fault and Intrusion Tolerant Distributed Systems-on-a-Chip (DisSoC) Manycore Architectures



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- Fault-free system designs are infeasible or bearing extreme costs, even if microhypervisor-based
- Manycores as distributed-systems-on-a-chip:
 - Leveraging natural redundancy, fault independence, and diversity, toward extremely dependable computing architectures withstanding advanced and persistent threats, and a large extent of hardware-level faults
- Hybrid system architecting
 - Reconcile carefully designed (the larger payload system) with formally verified (the small, trusted components)
 - Hybridisation-aware algorithms leverage power of hybrids to sustain correctness of the whole

Intrusion Resilience System (IRS) Trustworthy Autonomous Vehicles Architecture (SAVVY)

Towards sustainable security and safety *In AV control*

KAUST In-house Projects 2021-----



Towards sustainable security and safety

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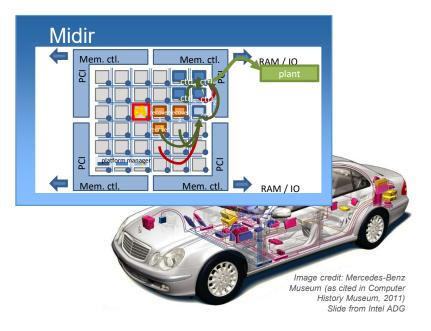
(inspired by precursor projects Karyon (EU) and ICRI CARS (INTEL)

Resilient DRT autonomous control --- general driving

Collaboration among autonomous vehicles (V2V, V2I)



Fault and intrusion tolerant control in-vehicle by eliminating SPOFs, in particular at operating-system level





Intrusion Resilience System (IRS) The Concept: intrusion masking for real-time fault and

intrusion tolerance (R/T FIT)



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- IRS as a distributed service/middleware/library securing critical real-time in-car applications
- Distributed State Machines over a number of diverse ECUs

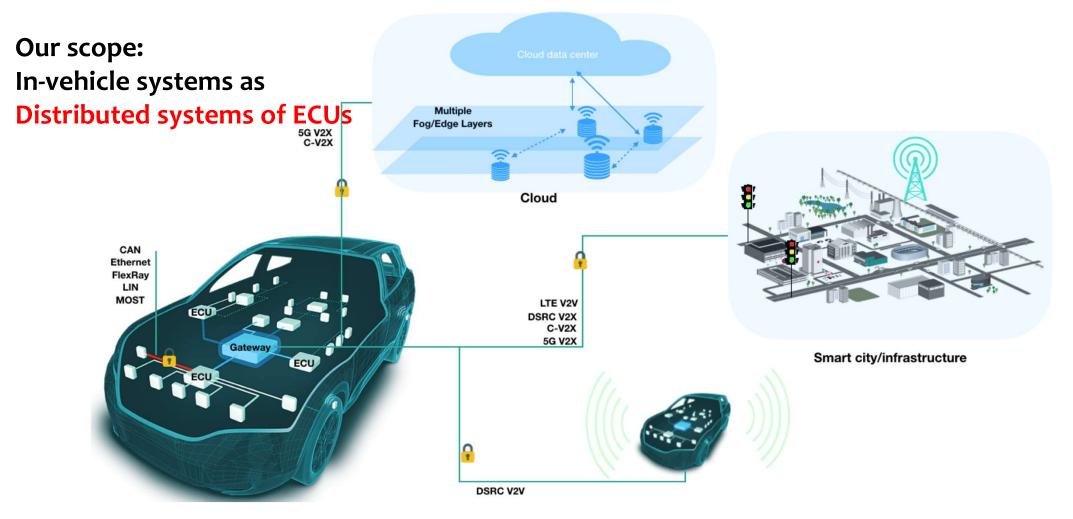
A. Shoker, V. Rahli, J. Decouchant and P. Esteves-Verissimo, "Intrusion Resilience Systems for Modern Vehicles," 2023 IEEE 97th Vehicular Technology Conference (VTC2023-Spring), Florence, Italy, 2023





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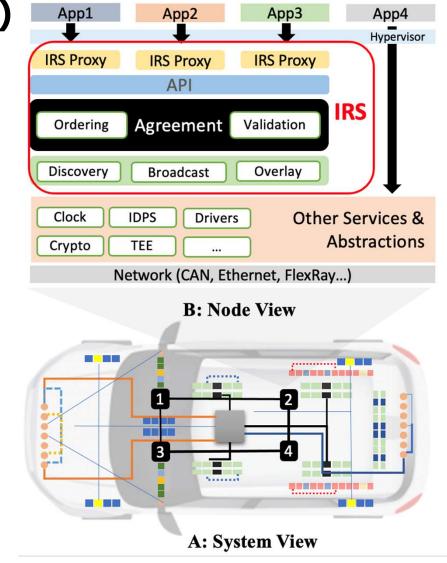


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More than 100	SENSORS & ACTUATORS LIDAR, camera, air, temprature, engine, oil, throttle, spark, valve, lamp, etc.
More than	ELECTRONIC CONTROLERS Body, engine, doors, windows, seats, airbag, mirrors, chassis, telecom, voice, mic, etc.
More than	NETWORKS CONNECTED CAN, CAN FD, CAN XL, Automotive Ethernet, FlexRay, LIN, MOST,
More than 3 M	FUNCTIONS OF CODE (Volvo) - OS (LynxOS, Neutrino, AGL, Android auto, Apple CarPlay) - Virtualization hypervisors - Applications (ADAS, infotainment, Android, Apple)

Intrusion Resilience System (IRS) The Concept: intrusion masking

- IRS as a **distributed** service/middleware/library
- A critical application (process) is fully replicated
- Replicas form a *Distributed State Machine* over a number of ECUs
- Decisions are only made through Byzantine agreement (BA/BFT)
- Integrity of decisions is guaranteed despite intrusion faults of *f* out of *N* (3*f*+1/2*f*+1) replicas

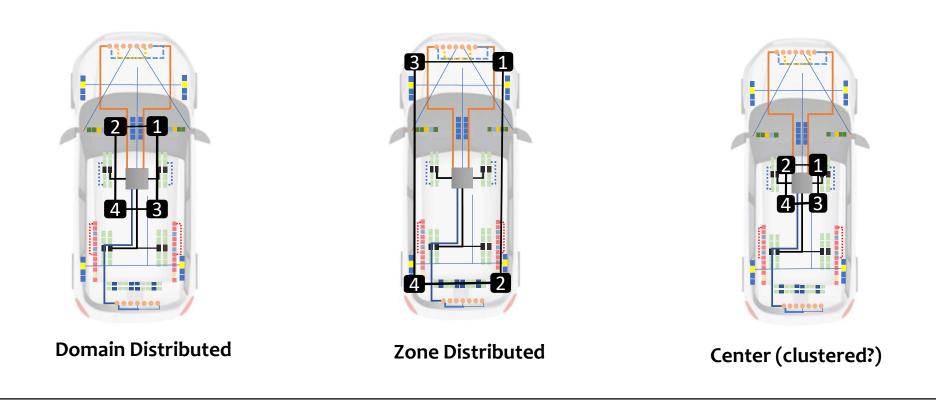




Need 4 x ECUs?



Leverage modern architectures to host replicas on "similar" ECUs Component-based vs. Node-based FIT



The Path to Fault- and Intrusion-Resilient Manycore Systems on a Chip

- distributed, parallelized, reconfigurable, heterogeneous...
 - the very features that cause many of the imminent and emerging security and resilience challenges, can, through ...
- replication, hybridization, diversity, rejuvenation, adaptation,
 - also open avenues for their cure through SoC architecting ...
- This disruptive paper (@DSN2023 Disrupt track) suggests paths across the entire SoC hardware/software stack.
- Modular FIT in modern cars offers a promising application domain



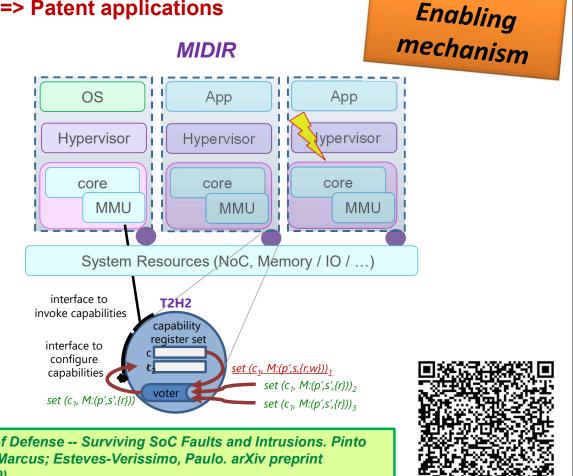
Shoker, P. Esteves-Verissimo and M. Völp, "The Path to Fault- and Intrusion-Resilient Manycore Systems on a Chip," 53rd IEEE/IFIP DSN Int'l Conference, Disrupt Track (DSN-S), Porto, Portugal, 2023. doi: 10.1109/DSN-S58398.2023.00043.



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Distributed Systems-on-a-Chip (DisSoC) leveraging Ultra-resilient minimal roots-of-trust

- Threats have been permeating all levels of architecture.
- And we are always one step "late":
 - we rely on high-level protection (Paxos, BFT,...)
 - threats haunt below (hyp, ME, hw)
 - lost battle: general 0-defect infeasible
- Leverage properties of manycore systems:
 - distributed systems-on-a-chip (DisSoC)
 - reinstantiate protection techniques at low enough level (detection, self-check, tolerance)



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The

Behind the Last Line of Defense -- Surviving SoC Faults and Intrusions. Pinto Gouveia, Ines; Voelp, Marcus; Esteves-Verissimo, Paulo, arXiv preprint arXiv:2005.04096 (2020). Computers & Security, Vol.123, 2022, https://doi.org/10.1016/j.cose.2022.102920.

=> Patent applications

Savvy: Trustworthy AI/ML powered Autonomous Vehicles Architecture



Revisit the current fundamentals of GPT based safety-critical AV architectures, in face of the several problems found:

(i) finding a balance between **intelligence and trustworthiness**, considering *efficiency and functionality* brought in by AI/ML, while prioritizing indispensable *safety and security*;

(ii) developing an advanced architecture reconciling the **stochastic** nature of AI/ML with the **determinism** of driving control theory



Ali Shoker, Rehana Yasmin & Paulo Esteves-Verissimo. RC3@KAUST. (Work in progress) . Symposium on Vehicle Security and Privacy (VehicleSec 2024) @NDSS Feb. 2024, San Diego, CA-US. arXiv https://doi.org/10.48550/arXiv.2402.14580





Autonomous Driving under attack

"Adversary": Inadequate or insufficient Machine Learning mechanisms!





Predicates abstracting the main AI/ML-based AV failure syndromes



• Issue 1

Confusion in Command and Control

- (ML model mapping of the controlled process and environment)

Issue 2

Better-precise-than-timely (All-or-Nothing)

- (ML classification paradigm)

Incident Analysis (NTSB & NHTSA) Tesla, Volvo, GM Cruise, Honda Acura

Issue 1 Confusion in Command and Control Vehicle has not made any slow-down or braking

Features disabled, ignored sensor inputs

AD system could not make a decision Late driver handover is being done

• No reliable system that oversees vehicle state

• No reliable system to take over vs. waiting handover forever

Incident Analysis (NTSB & NHTSA) Vehicle has not made Tesla, Volvo, GM Cruise, Honda Acura • AD system could not make a decision any slow-down or • Driver handover is being done braking Issue 1 Confusion in Command and Control • No reliable system that oversees Features disabled, vehicle state broken or ignored • No reliable system to take over vs sensors waiting handover late or forever Issue 2 No mentioning to "invalid" or **ML** classification oriented ML has not delivered early enough "indeterminate" or ML failed to recognize an obstacle to Better-precise-than-"not-converging" timely (All-or-Nothing) classification

Solution Hypothesis

Tune ML to infer useful insights that are **time-bounded**

Dynamic Neural Networks that allow for model deformation using depth and width adjustment (early exiting, skipping, pruning, etc.), choosing the adequate protocol using Neural

Architecture Search or parameter (Weights, Space, or Channel).

An object Beep Brake Non-obstructive dimensions Continue (small) Non-obstructive material (plastic Slow Continue bag, shadow) down Steer More accurate **Obstructive avoidable (rock)** Beep but slower away **Obstructive unavoidable (falling** Brake Beep truck) **Obstructive moving (animal)** Brake Give wav **Obstructive rational (human)** Brake Stop Slow **Obstuctive vehicle** Talk to it down

Obstacle Avoidance Task

Savvy's approach

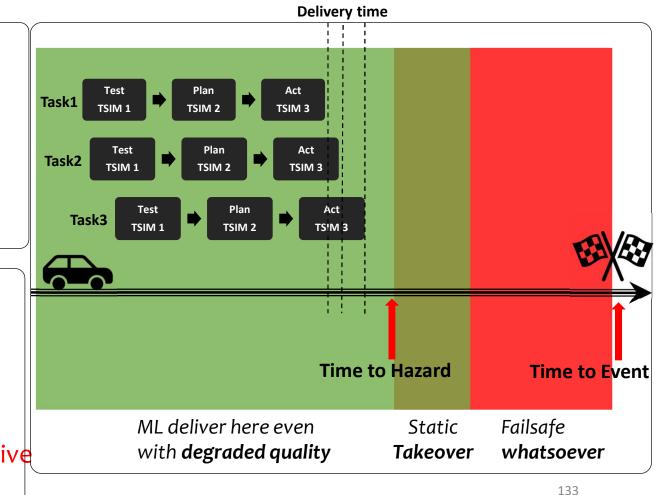
Issue 1 Confusion in Command and Control

Solution Safety-critical Superv. Control System Hybrid takes-over whatsoever

Issue 2 *ML* optimized for Better-precise-thantimely (All-or-Nothing)

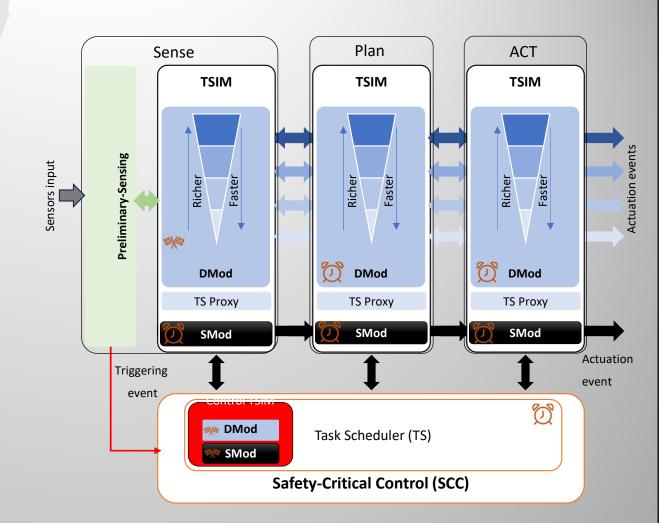
Solution

ML calibrated for -Time-aware predictive quality degradation



Savvy Architecture

- Preliminary Sensing
 - Detect an Event
 - o Define Time-to-Event (T2E)
- Safety-Critical Control (SCC)
 - Define Time-to-Hazard (T2H)
 - \circ Set T2E and T2H timers
 - Schedule Tasks over Time-Sensitive Intelligent Modules (TSIM)
- Timer T2H << T2E:</pre>
 - \circ TSIM tunes ML model to deliver before T2H
- Timer T2H = T2E
 - Fail-operational: SCC takes over



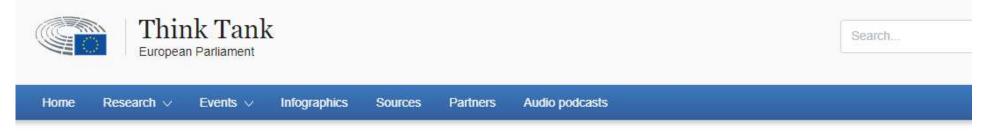
Crucial non-technical enablers:



- (sustainability through threats)
- Laws and regulations (Europe is advanced here)



The NIS2 Directive: A high common level of cybersecurity in the EU



Research / Advanced search / The NIS2 Directive: A high common level of cybersecurity in the EU

The NIS2 Directive: A high common level of cybersecurity in the EU

Briefing – 08-02-2023

f X in

The Network and Information Security (NIS) Directive is the first piece of EU-wide legislation on cybersecurity, and its specific aim was to achieve a high common level of cybersecurity across the Member States. While it increased the Member States' cybersecurity capabilities, its implementation proved difficult, resulting in fragmentation at different levels across the internal market. To respond to the growing threats posed with digitalisation and the surge in cyber-attacks, the Commission has submitted a proposal to replace the NIS Directive and thereby strengthen the security requirements, address the security of supply chains, streamline reporting obligations, and introduce more stringent supervisory measures and stricter enforcement requirements, including harmonised sanctions across the EU. The proposed expansion of the scope covered by NIS2, by effectively obliging more entities and sectors to

C-level executives will be called to order...

Man Who Mass-Extorted Psychotherapy Patients Gets Six Y

April 30, 2024

29 Comments

A 26-year-old Finnish man was sentenced to more than six years in prison today after being convicted of hacking into an online psychotherapy clinic, leaking tens of thousands of patient therapy records, and attempting to extort the clinic and patients.



On October 21, 2020, the **Vastaamo Psychotherapy Center** in Finland became the target of blackmail when a tormentor identified as "ransom_man" demanded payment of 40 bitcoins (~450,000 euros at the time) in return for a promise not to publish highly sensitive therapy session notes Vastaamo had exposed online.

- Former CEO of Vastaamo, was fired and also prosecuted following the breach. Convicted to 6 months jail, suspended.
- The company used username and password "root/root" to protect sensitive patient records.

Regulation of artificial intelligence EU AI Act



Artificial intelligence > EU Al Act: first regulation on artificial intelligence

BBC

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AI: EU agrees landmark deal on regulation of artificial intelligence

9 December 2023

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EU AI Act: first regulation on artificial intelligence

All topics

The use of artificial intelligence in the EU will be regulated by the Al Act, the world's first comprehensive Al law. Find out how it will protect you.

> Published: 08-06-2023 Last updated: 19-12-2023 - 11:45 6 min read





Resilient Computing and Cybersecurity Center





Ecosystem mindset

Laws and regulations, "no Far-West"

AV systems (AI/ML or other) cannot ignore distributed real-time systems and control theory

Accidents and attacks, safety and security

Reconciliation of uncertainty with predictability must be an inherent design predicate, not an after thought, a question of "training better"

Modular and technology neutral resilience solutions, from mechanical to cyber world