#### Building Error-Resilient and Attack-Resilient ML-Enabled CPS

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#### **University of British Columbia**





#### Machine Learning



Home Care



Law Enforcement



Self-Driving Cars

#### Machine-learning is increasingly used in safety-critical CPS

## **Reliability and Security?**









## **Our Goal**

# Provide Resilience without any human intervention for both faults and attacks

## ML-enabled CPS: Why Resilience?

CPS deployed in unpredictable scenarios

ML cannot deal with unseen situations

Cannot simply stop under errors & attacks

## ML-enabled CPS Resilience: Challenges

#### **Real-time Operation**

- In place correction/recovery

#### **Resource Constraints**

- Low performance overheads

#### No human in the loop

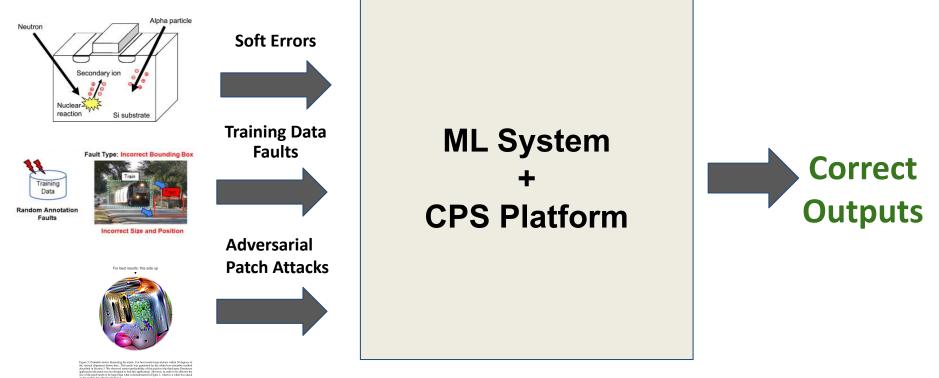
- Completely automated







## Fault and Threat Model



## Outline

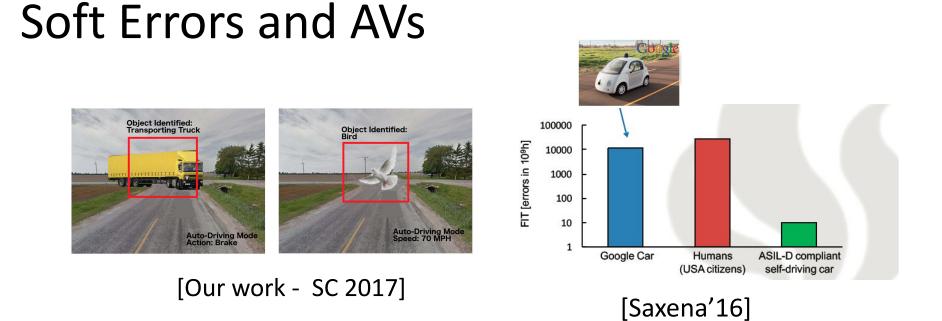
Motivation

Soft Errors [DSN'21 - Best Paper nominee, AlSafety'21 - b.p. nominee]

Training Data Faults [QRS'21 - Best Paper award, DSN'22, ISSRE'23]

Adversarial Patch Attacks [AsiaCCS'23]

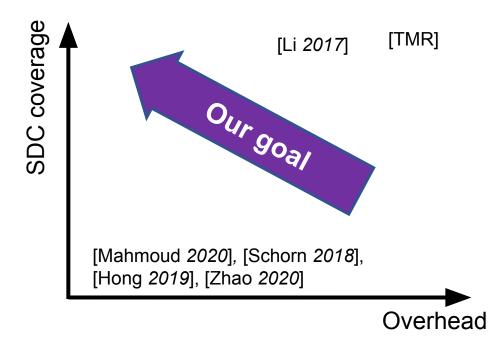
Ongoing work and Conclusions



#### • Safety standard – Automotive Safety Integrity Level (ASIL-D)

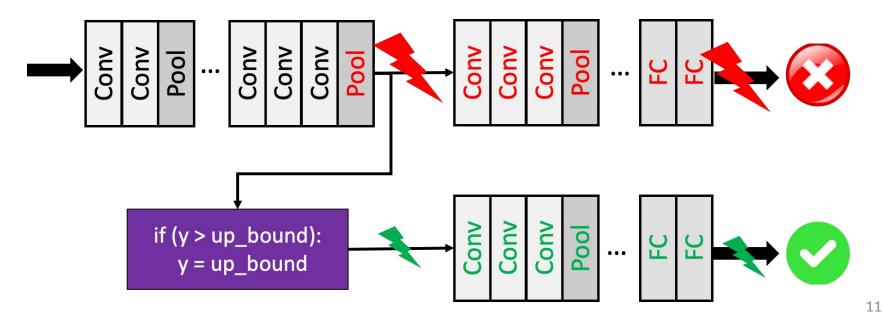
- Error rate <10 FIT (per 1 billion hours) ISO 26262
- DNN systems do not satisfy it without protection

#### **Towards Reliable DNNs**

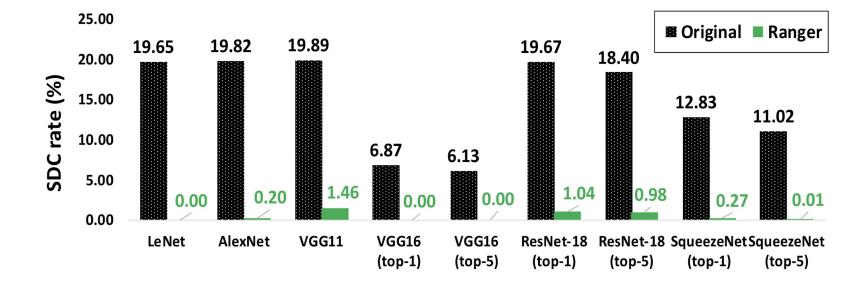


## Key idea

Transform Critical Faults into Benign Faults, via Selective Range Restriction in Hidden Layers



#### **Effectiveness of Ranger**



#### SDC rate reduced from 14.92% to 0.44% (**34X reduction**)

#### Accuracy of DNNs

No accuracy degradation for the DNNs (without fault)

#### Overhead

0.53% Floating-point Operations (FLOPs)

#### **Ranger in Action**



Code: https://github.com/DependableSystemsLab/Ranger

## **Real world adoption**



(a) Yolov3 prediction without fault

#### Post-Optimization Training



(b) Yolov3 prediction corrupted with single weight fault

Source: https://docs.openvino.ai/nightly/pot\_ranger\_README.html



(c) Yolov3 prediction corrupted with single weight fault - Ranger applied

## Outline

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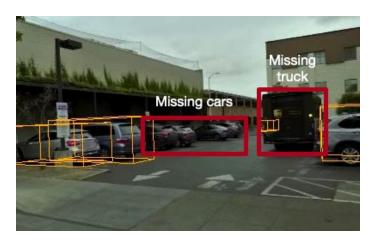
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Ongoing work and Conclusions

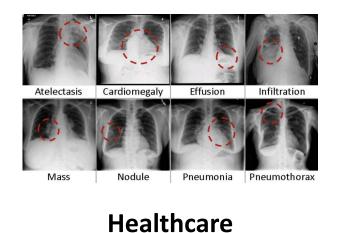
## **Training Data Faults**

70% of Lyft dataset missing, mislabelled [Kang et al, 2022]

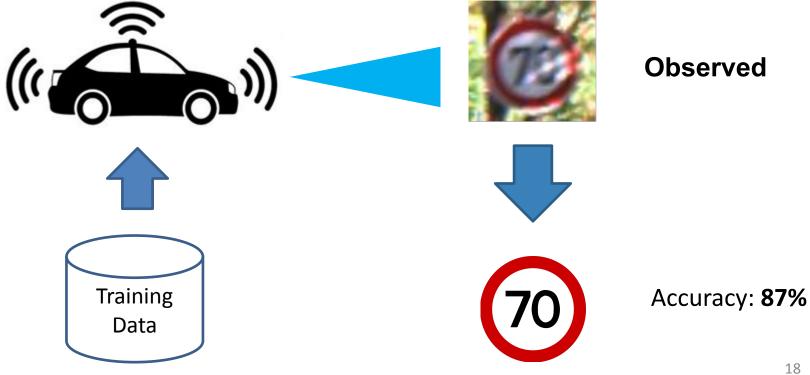


**Autonomous Vehicles** 

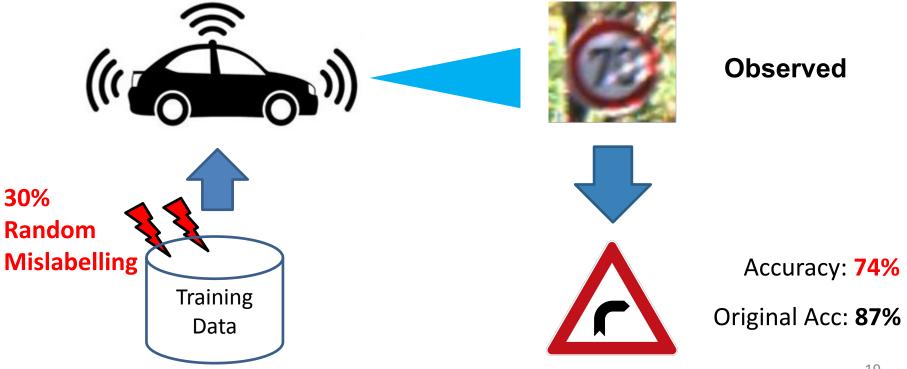
20% of ChestX-ray mislabelled [Tang et al, 2021]



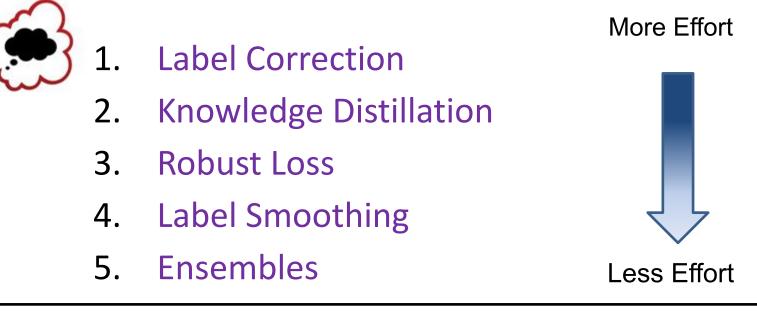
## Autonomous Vehicle Example



## Autonomous Vehicle Example



## How to mitigate training data faults?



**Our Work:** The Fault in Our Data Stars: Studying Mitigation Techniques against Faulty Training Data in ML Applications **[DSN'22]** 

## **Neural Networks**

ML Model Name	Depth (# of Layers)
ConvNet	Shallow
DeconvNet	Shallow
MobileNet	Deep
ResNet18	Deep
ResNet50	Deep
VGG11	Deep
VGG16	Deep

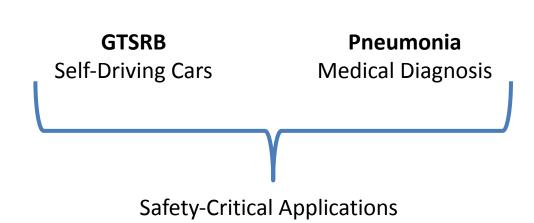
## **Evaluation Datasets**



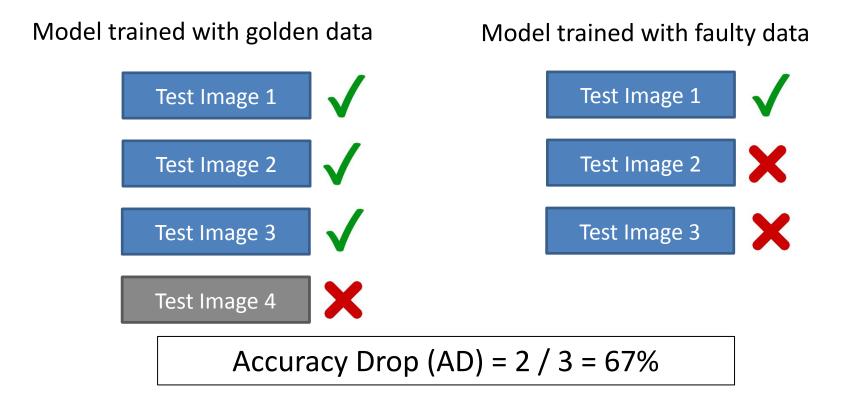




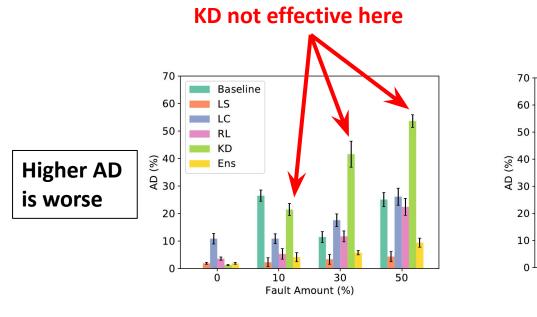
**CIFAR-10** Object Detection



## Reliability Metric: Accuracy Drop (AD)



#### **AD Across Models**



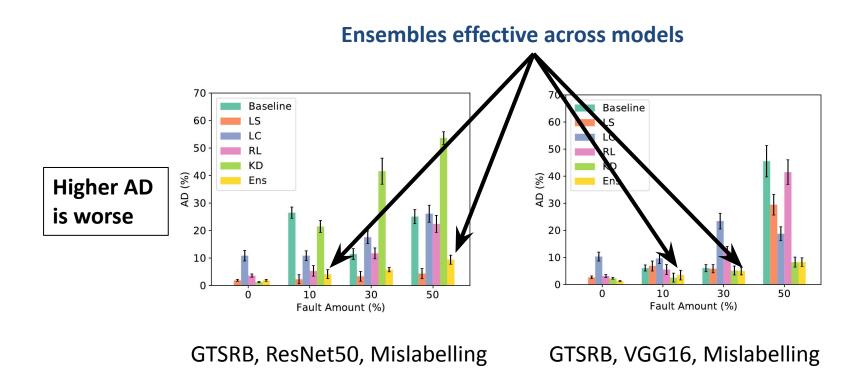
GTSRB, ResNet50, Mislabelling

KD effective here

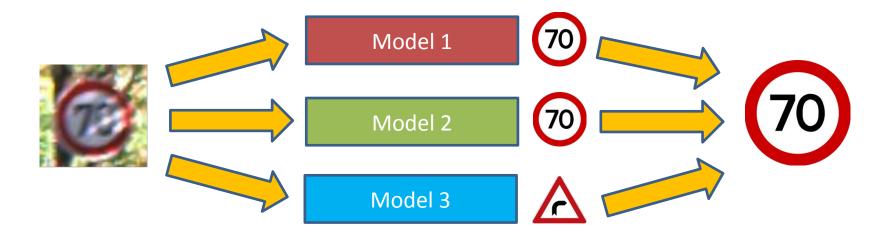
GTSRB, VGG16, Mislabelling

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#### **AD Across Models**

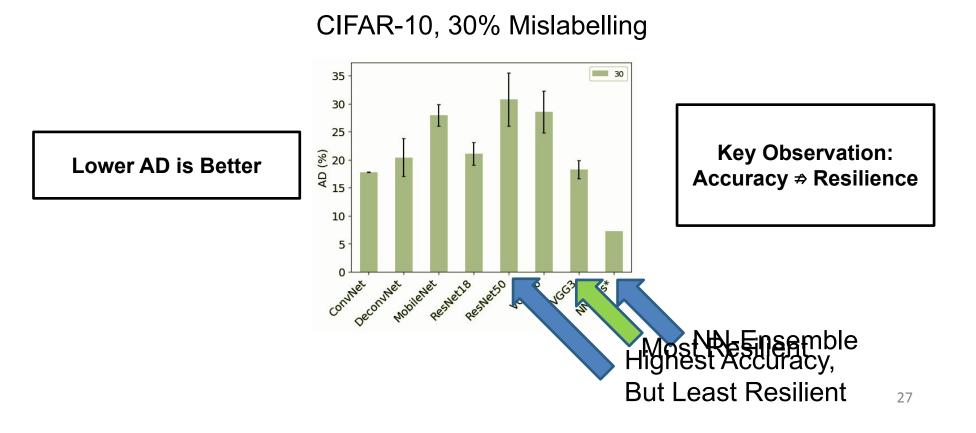


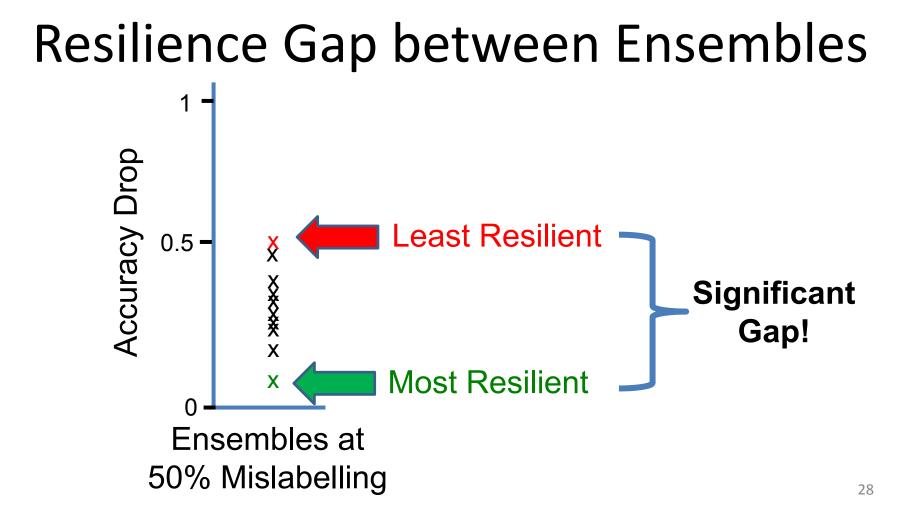
## What makes Ensembles Resilient?

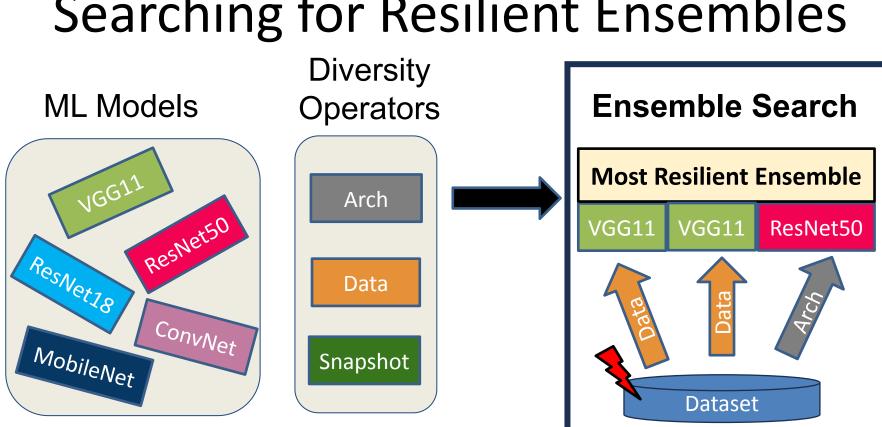


**Our Work:** Understanding the Resilience of Neural Network Ensembles against Faulty Training Data **[QRS'21]** 

## Individual Models vs Ensemble







## Searching for Resilient Ensembles

## **Training Data Faults: Summary**

- Training data faults are common and impactful
- Ensembles tolerate training data faults
- Ensembles' resilience has lot of variance
  - Need to search for the best ensemble

Code: https://github.com/DependableSystemsLab/TDFM-Techniques

## Outline

Motivation

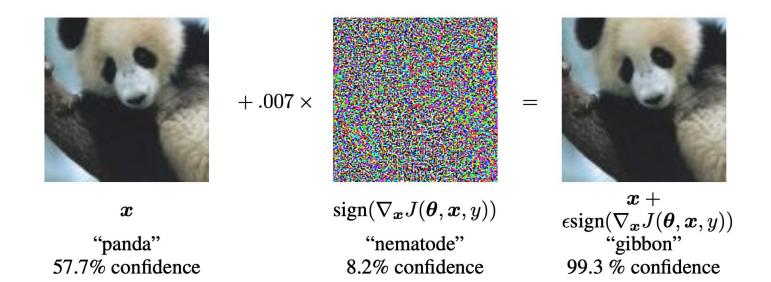
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Ongoing work and Conclusions

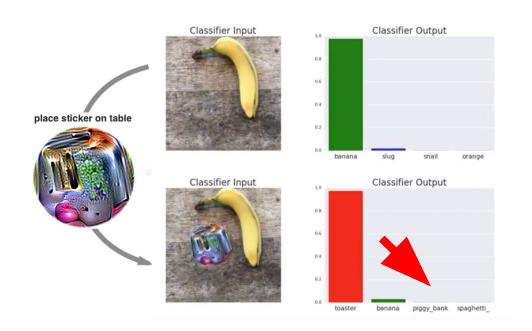
#### **Classic Adversarial Attacks**



#### From Goodfellow et al. ICLR'14

## Adversarial patch attacks

Universally malicious and physically-realizable
 Localized adversarial patch for misclassification



#### Brown et al. 2017

Universally effective on any image 33

## Threat model

#### Adversary

- White-box adversary, Access to a surrogate dataset.
- Goal: Universal targeted misclassification [Brown et al.].

#### Defender

- Hold-out set (random samples hidden from adversary).
- Goal: Attack detection & mitigation.

#### Jujutsu

#### Turning the adversary's strength against the adversary

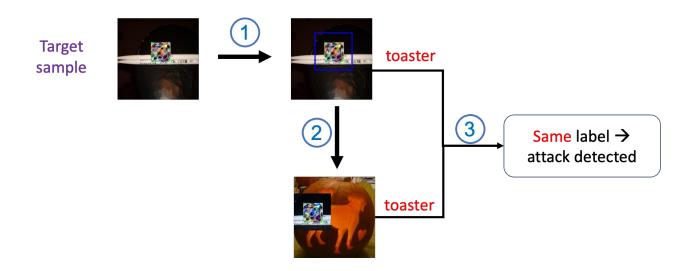
**Universal Misclassification** 

Localized Corruption



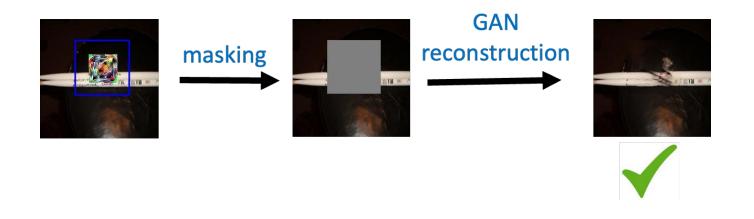
#### Key idea: Detection

- Adversarial patch is universally malicious.
- Expose the consistent misclassification by the patch attacks.



#### Key idea: Mitigation

- Localized perturbations for physically realizable attack.
- Utilize uncorrupted features to reconstruct clean samples with GAN.



#### Evaluation

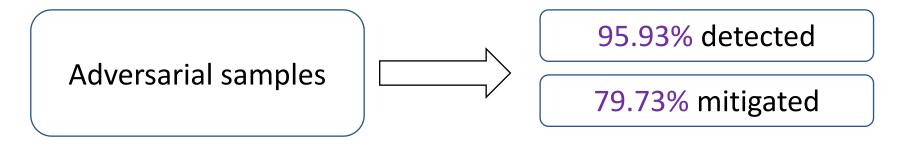


Datasets: ImageNet, ImageNette, CelebA, Place365

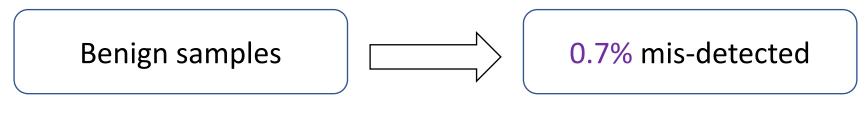
Six patch sizes: 5% - 10%

Seven architectures: ResNet, DenseNet, VGG, etc.

#### **Overall results**



Match the accuracy on benign samples



#### Physical-world attack



Jujutsu mitigates >95% attacks, with 3% FPR

#### Summary

Jujutsu: A two-stage defense against adversarial patch attacks.

#### **Attack detection**

Adversary: universal attacks

Jujutsu: expose attacks' consistent misclassification

#### Attack mitigation

Adversary: localized attacks

Jujutsu: utilize the uncorrupted features 
Clean samples

Code 
<u>https://github.com/DependableSystemsLab/Jujutsu</u>

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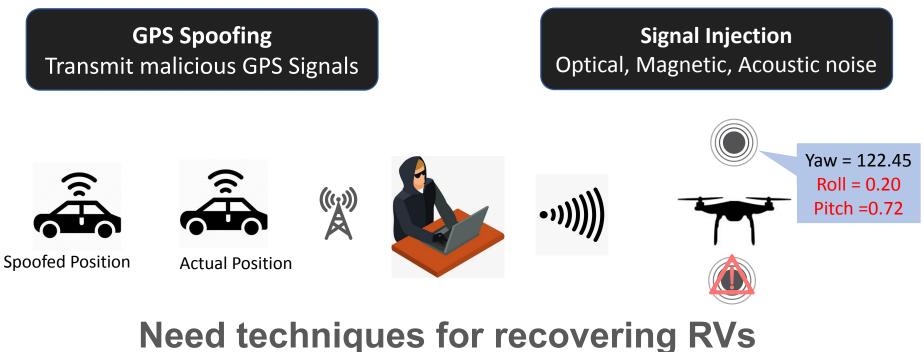
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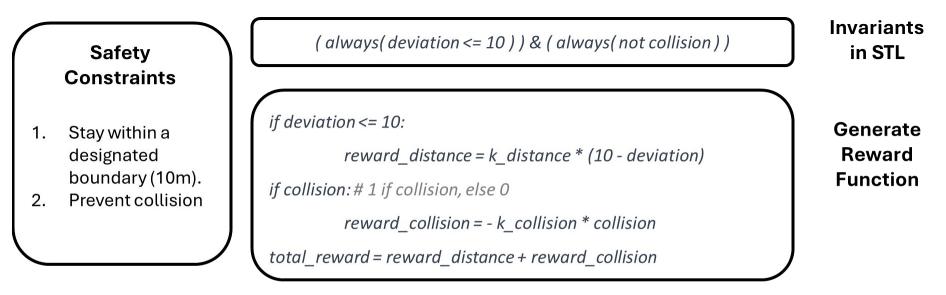
**Ongoing work and Conclusions** 

#### **Robotic Vehicles Security**



safely from attacks

#### Deep-RL based Safe Recovery



Invariants Balance objectives (mission, safety constraints)

Reward Function

Reward++  $\rightarrow$  prevent violation Reward --  $\rightarrow$  safety violation

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## Conclusions

#### Machine learning used in safety-critical CPS

- Need **resilience** to **both** errors and attacks
- Need real-time and automated correction
- Detection and Mitigation Techniques
  - **Soft Errors** Range checking [DSN'21][AlSafety'23]
  - Training data faults Diverse Ensembles [DSN'22][QRS'21]
  - Adversarial Patch attacks Two-stage Defense [AsiaCCS'23]

More info: <a href="http://blogs.ubc.ca/karthik/">http://blogs.ubc.ca/karthik/</a>