

**PERSPECTIVES ON DEPENDABILITY AND  
SECURITY BENCHMARKING OR TO  
BENCHMARK OR NOT TO BENCHMARK**

**IFIP WG 10.4**  
*71<sup>st</sup> Meeting*  
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**BENCHMARKING CONTEXT**

**Assessing and comparing  
computer systems and/or components  
according to specific quality attributes**

- Performance benchmarking
  - Well established both in terms of research and application
  - Supported by organizations like TPC and SPEC
  - Mostly for marketing
- Dependability benchmarking
  - Well established from a research perspective
  - No endorsement from the industry

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## BENCHMARKING CONTEXT

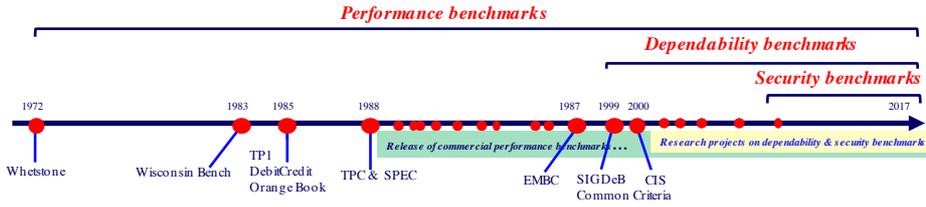
**Assessing and comparing  
computer systems and/or components  
according to specific quality attributes**

- Security benchmarking
  - Several works can be found
  - No common approach available yet
- Towards the future:
  - Resilience benchmarking
  - Trustworthiness benchmarking

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## BENCHMARKS TIMELINE



*Performance benchmarks*      *Dependability benchmarks*      *Security benchmarks*

1972      1983      1985      1988      1987      1999      2000      2017

Whetstone      Wisconsin Bench      TP1      TPC & SPEC      EMBC      SIGDeB      CIS      Common Criteria

DebiCredit  
OrangeBook

*Release of commercial performance benchmarks...*      *Research projects on dependability & security benchmark*

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## OUTLINE

- Past perspective
  - Performance, dependability, security...
- What is wrong?
  - and an approach...
- Future perspective... or not...
  - Resilience, trustworthiness...
  - Industry/users acceptance

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## PERFORMANCE BENCHMARKING

**Assessing and comparing  
computer systems and/or components  
in terms of performance**

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## PERFORMANCE BENCHMARKING

---



```

graph LR
    W[Workload] --> SUB[SUB]
    SUB --> M[Metrics]
  
```

- Workload:
  - Set of representative operations
- Metrics:
  - Throughput
  - Response time
  - Latency
  - ...

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## TPC-C (1992)

---



```

graph LR
    W[Workload] --> DBMS[DBMS]
    DBMS --> M[Metrics]
  
```

- Workload:
  - Database transactions
- *Although some integrity tests are performed, it assumes that nothing fails*
  - Transaction rate (tpmC)
  - Price per transaction (\$/tpmC)

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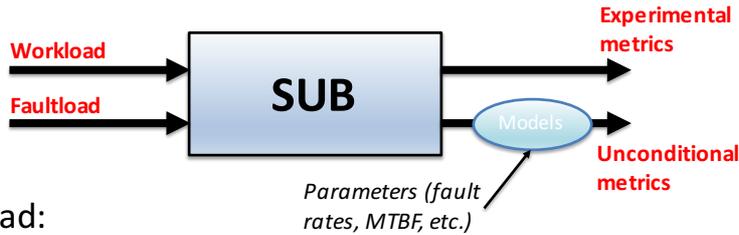
# DEPENDABILITY BENCHMARKING

**Assessing and comparing  
computer systems and/or components  
considering dependability attributes**

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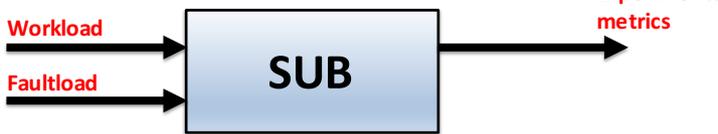


# DEPENDABILITY BENCHMARKING



- **Faultload:**
  - Set of representative faults, injected into the system
- **Metrics:**
  - Performance and/or dependability
    - Both baseline and in the presence of faults
  - Unconditional and/or direct

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## DBENCH-OLTP (2005)

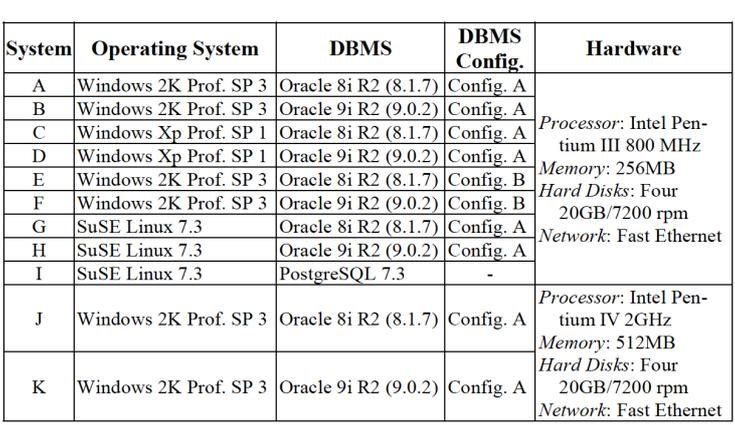
Workload  
Faultload

**SUB**

Experimental metrics

- **Workload:**
  - TPC-C transactions
- **Faultload:**
  - Operator faults + Software faults + HW component failures
- **Metrics:**
  - Performance: tpmC, \$/tpmC, Tf, \$/Tf
  - Dependability: Ne, AvtS, AvtC

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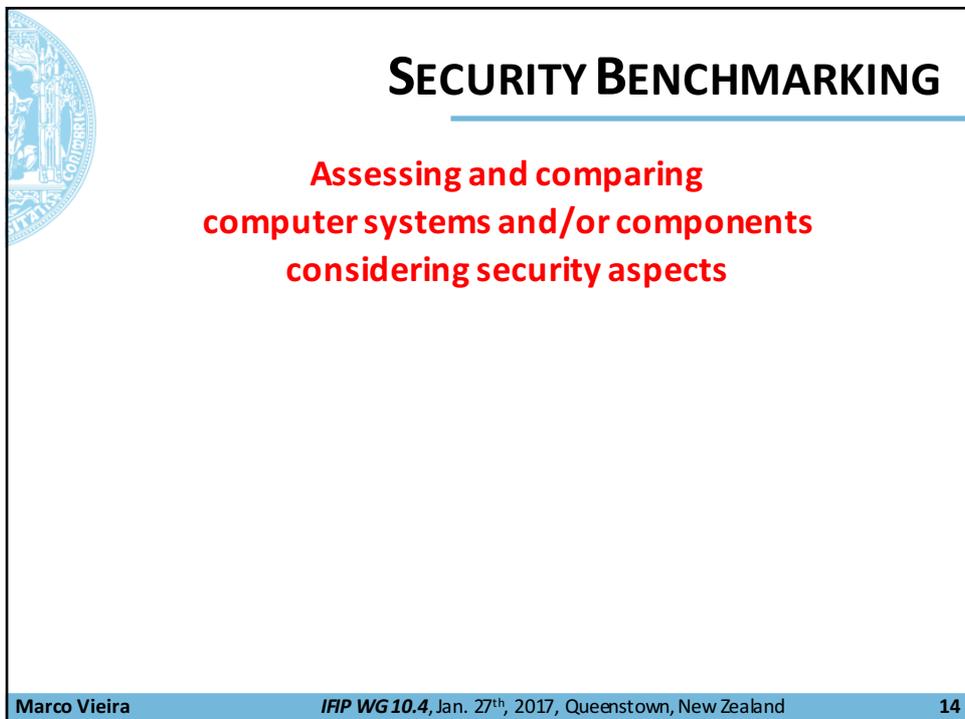
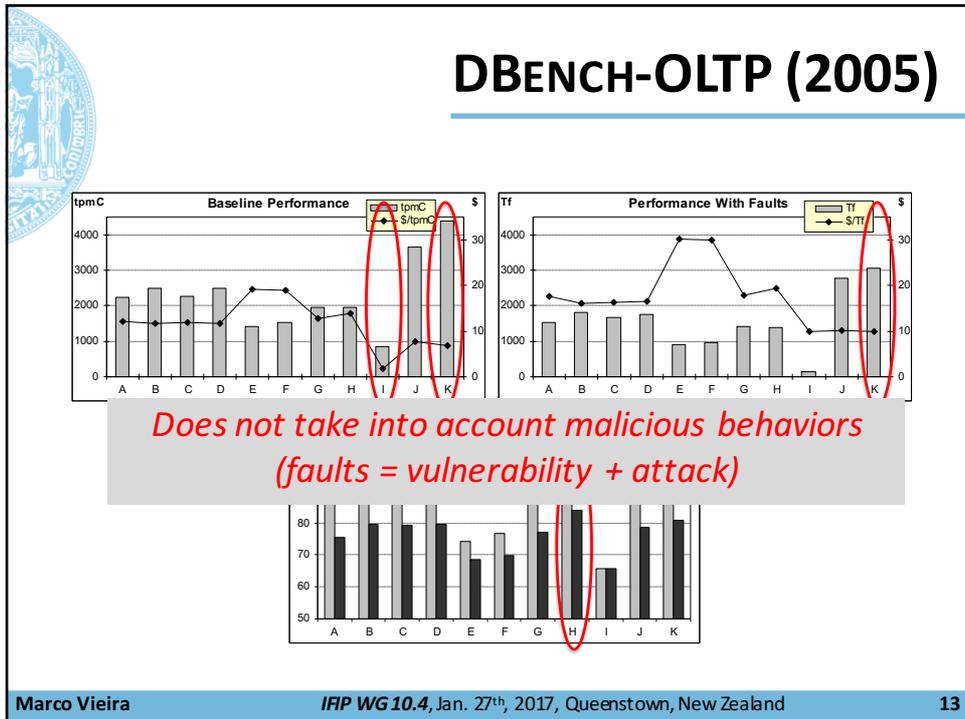


## DBENCH-OLTP (2005)

System	Operating System	DBMS	DBMS Config.	Hardware
A	Windows 2K Prof. SP 3	Oracle 8i R2 (8.1.7)	Config. A	<i>Processor:</i> Intel Pentium III 800 MHz <i>Memory:</i> 256MB <i>Hard Disks:</i> Four 20GB/7200 rpm <i>Network:</i> Fast Ethernet
B	Windows 2K Prof. SP 3	Oracle 9i R2 (9.0.2)	Config. A	
C	Windows Xp Prof. SP 1	Oracle 8i R2 (8.1.7)	Config. A	
D	Windows Xp Prof. SP 1	Oracle 9i R2 (9.0.2)	Config. A	
E	Windows 2K Prof. SP 3	Oracle 8i R2 (8.1.7)	Config. B	
F	Windows 2K Prof. SP 3	Oracle 9i R2 (9.0.2)	Config. B	
G	SuSE Linux 7.3	Oracle 8i R2 (8.1.7)	Config. A	
H	SuSE Linux 7.3	Oracle 9i R2 (9.0.2)	Config. A	
I	SuSE Linux 7.3	PostgreSQL 7.3	-	
J	Windows 2K Prof. SP 3	Oracle 8i R2 (8.1.7)	Config. A	<i>Processor:</i> Intel Pentium IV 2GHz <i>Memory:</i> 512MB <i>Hard Disks:</i> Four 20GB/7200 rpm <i>Network:</i> Fast Ethernet
K	Windows 2K Prof. SP 3	Oracle 9i R2 (9.0.2)	Config. A	<i>Processor:</i> Intel Pentium IV 2GHz <i>Memory:</i> 512MB <i>Hard Disks:</i> Four 20GB/7200 rpm <i>Network:</i> Fast Ethernet

**Faultload: Operator faults**

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## SECURITY BENCHMARKING

Parameters (vulnerability exposure, mean time between attacks, etc.)

- *Attacking what? Do we know the vulnerabilities?  
What are representative attacks?*
- Metrics:
  - Performance + dependability ?
  - Security (e.g., number vulnerabilities, attack detection)

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## SECURITY BENCHMARKING

Parameters (vulnerability exposure, mean time between attacks, etc.)

- *Does not work if one wants to benchmark how secure different systems are!  
e.g. does the number of vulnerabilities of a system represent anything?*
- – e.g. intrusion detectors

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## A DIFFERENT APPROACH...

```

graph TD
    SUBs[SUBs] --> SQ[Security Qualification]
    SQ -- Unacceptable --> S0[Security = 0]
  
```

- Security Qualification:
  - Apply state-of-the-art techniques and tools to detect vulnerabilities
  - SUBs with vulnerabilities are:
    - Disqualified!
    - Or vulnerabilities are fixed...

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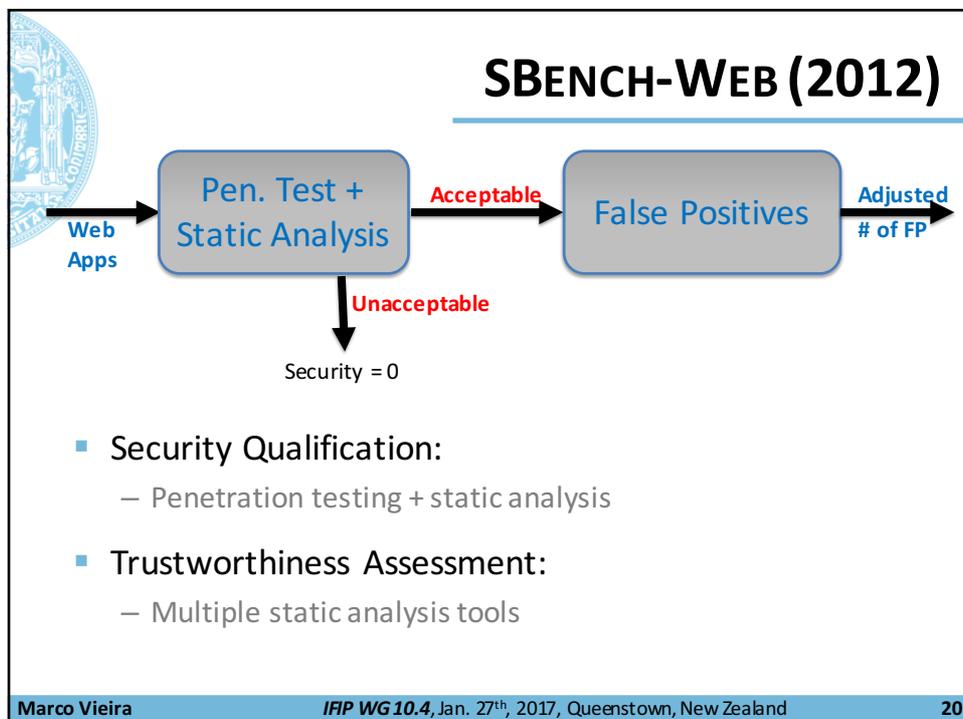
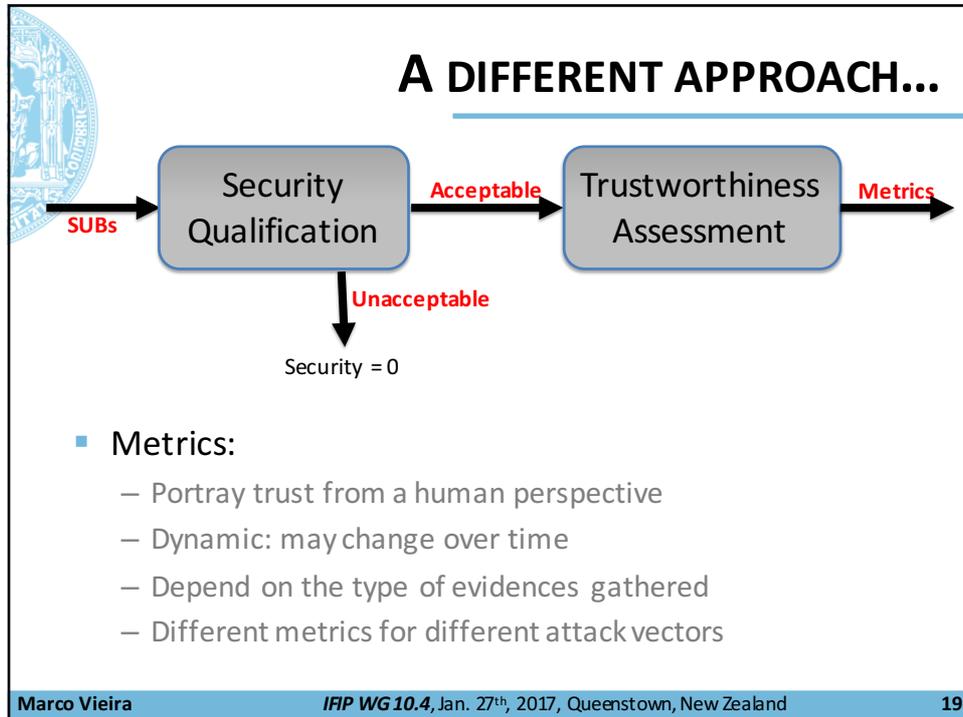
## A DIFFERENT APPROACH...

```

graph LR
    SUBs[SUBs] --> SQ[Security Qualification]
    SQ -- Unacceptable --> S0[Security = 0]
    SQ -- Acceptable --> TWA[Trustworthiness Assessment]
    TWA -- Metrics --> MetricsOut[Metrics]
  
```

- Trustworthiness Assessment:
  - Gather evidences on how much one can trust
  - e.g., best coding practices, development process, bad smells

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## SBENCH-WEB (2012)

Metrics:

$$TM = \frac{\text{No. Lines of Code}/100}{F*0.93 + Y*0.64 + I*0.33}$$

F: Findbugs; Y: Yasca; I: IntelliJ Idea

- Example: 

		Lines of Code	Trustworthiness
4	Yazd 3	56255	17,7
5	JavaBB 0.99	23807	10,2
6	mvnForum 1.2	76774	10,2
7	JSForum 0.0.2	1693	0,4

*False positives are not a good evidence!*

*Explore behavioral aspects (e.g. memory and CPU)*

		Lines of Code	Trustworthiness
4	Yazd 3	56255	17,7
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## WHAT IS WRONG?

Established benchmarks are mostly for marketing!

- Strict benchmarking conditions
  - Fixed workload & faultload + Small set of metrics
- Workload & faultload:
  - May not be representative of the user scenario
- Metrics:
  - Fixed! May not satisfy the user needs
  - Decision based on several metrics is difficult!

**No dependability or security benchmark endorsed by any organization or industry**

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**FIXED!**

Activation → SUB → Metrics

Fixed!

- Example:
  - Benchmarking vulnerability detection tools
  - Typical metric: F-Measure
  - Is this good in all scenarios?
    - Business critical: recall
    - Best effort: F-Measure
    - Minimum effort: Markedness

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**A POTENTIAL APPROACH...**

Benchmarking conditions adaptable to the user needs

- Include multiple usage scenarios:
  - Metrics depend on the scenario
  - Adaptable workload and faultload
- Use quality models instead of independent metrics
  - Quality models should also adapt to the scenario

Final Score

Operator

W5 W6

A1 A2

Operator Operator

W1 W2 W3 W4

A11 A12 A21 A22

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## SCENARIOS AND QUALITY MODELS

*How to define scenarios? How to define quality models? How to adapt workloads and faultloads to the scenarios?*

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## IS THERE A FUTURE?

### Resilience Benchmarking

- Assess and compare the behavior of components and computer systems when subjected to changes
- Which resilience metrics?
  - Comparable, consistent, understandable, meaningful, ...
- Changeloads:
  - Representative, practical, portable, ...

### Trustworthiness Benchmarking

- What evidences to collect?
- What metrics?
- Dynamicity of perception... social trust...

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## ACCEPTANCE

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Satisfy industry requirements

- Representativeness, portability, scalability, non-intrusiveness, low cost, ...
- Prevent “gaming”

- Satisfy user requirements
  - Representativeness, usefulness, simplicity of use...
  - Adaptable – allow “gaming”
- Endorsement by TPC, SPEC, ...
  - **How to?**

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## CONCLUSIONS

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The benchmarking concept is well established!

- Acceptance by “big” industry depends on perceived utility for marketing
- Acceptance by users requires “adaptability”
- From a research perspective, performance and dependability benchmarking are well known
- Security benchmarking approaches are weak
- New types of benchmarks will bring additional challenges!

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# QUESTIONS?

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